

Linking $R_{K^{(*)}}$ anomalies to Hubble tension via a single right-handed neutrino*

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Abstract: Updated measurements from the LHCb and SH0ES collaborations have respectively strengthened the deviations of the ratio R_K in rare semi-leptonic B -meson decays and the present-day Hubble parameter H_0 in the Universe, implying tantalizing hints of new physics beyond the standard model. In this paper, we consider a simple flavor-specific two-Higgs-doublet model, where long-standing $R_{K^{(*)}}$ anomalies can be addressed by a one-flavor right-handed neutrino. An intriguing prediction resulting from the parameter space for the $R_{K^{(*)}}$ resolution under flavor- and collider-physics constraints points toward a shift in the effective neutrino number, $\Delta N_{\text{eff}} = N_{\text{eff}} - N_{\text{eff}}^{\text{SM}}$, as a favored way to ease the H_0 tension. Depending on whether the neutrino is of the Dirac or Majorana type, we show that the resulting shift is $\Delta N_{\text{eff}} \approx 1.0$ for the former case and $\Delta N_{\text{eff}} \approx 0.5$ for the latter case. While the Dirac case is disfavored by the CMB polarization measurements, the Majorana solution is consistent with recent studies using a combined dataset from various sources. Consequently, such a simple flavor-specific two-Higgs-doublet model provides a link between $R_{K^{(*)}}$ anomalies and H_0 tension, which in turn can be readily verified or disproved by upcoming measurements.

Keywords: rare B -meson decays, new physics beyond the SM, Hubble tension, right-handed neutrino

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I. INTRODUCTION

In rare semi-leptonic B -meson decays, there is a series of long-standing deviations between standard model (SM) predictions and the LHCb measurements [1–6]. In particular, the ratios $R_{K^{(*)}}$, which are defined as

$$R_{K^{(*)}} \equiv \frac{\mathcal{B}(B \rightarrow K^{(*)}\mu^+\mu^-)}{\mathcal{B}(B \rightarrow K^{(*)}e^+e^-)}, \quad (1)$$

are predicted to be $R_{K^{(*)}}^{\text{SM}} = 1.00 \pm 0.01$ in the region $1.1 \leq q^2 \leq 6 \text{ GeV}^2$, with q^2 as the dilepton invariant mass squared, within the SM [7–10], whereas the LHCb measurements in both 2017 [3] and 2019 [4] exhibited a deviation at the $\sim 2.5\sigma$ level. Strikingly, the latest update of the LHCb measurement [5], with

$$R_K(1.1 \leq q^2 \leq 6 \text{ GeV}^2) = 0.846_{-0.039-0.012}^{+0.042+0.013}, \quad (2)$$

has pushed the deviation to reach the level of 3.1σ owing to the reduced experimental uncertainties. This strongly hints at new physics (NP) beyond the SM that violates lepton-flavor universality (LFU).

$R_{K^{(*)}}$ anomalies have prompted many NP proposals under extensive and intensive investigations (see, for example, the recent reviews [11, 12] and references therein). In particular, the two-Higgs-doublet model (2HDM) extended with right-handed neutrinos [13–16] is an interesting NP candidate because it can connect the intriguing LFU violation with neutrino masses – another big mystery in contemporary particle physics. Thus far, either Dirac [13] or Majorana [14–16] neutrinos have been considered to address $R_{K^{(*)}}$ anomalies. In these scen-

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arios, the dominant NP contribution to $R_{K^{(*)}}$ anomalies is assisted by the right-handed neutrinos running in the box diagrams that are most relevant for the $b \rightarrow s\mu^+\mu^-$ transition, and the resulting LFU-violating Wilson coefficients are predicted in the direction $C_{9\mu}^{\text{NP}} = -C_{10\mu}^{\text{NP}}$, which implies a left-handed NP effect in the muon sector and is persistently favored by updated global fits following Eq. (2) [17–29]. However, as noted in Ref. [15], the LFU-conserving Wilson coefficient $C_{10\ell Z}^{\text{NP}}$ resulting from Z -penguin diagrams could contribute as much as the LFU-violating ones. Even though the LFU-conserving contribution $C_{10\ell Z}^{\text{NP}}$ cannot explain $R_{K^{(*)}}$ anomalies alone, its comparable contribution can affect how $R_{K^{(*)}}$ anomalies are numerically addressed in the direction $C_{9\mu}^{\text{NP}} = -C_{10\mu}^{\text{NP}}$. Therefore, theoretical NP models with comparable $C_{9\mu}^{\text{NP}} = -C_{10\mu}^{\text{NP}}$ and $C_{10\ell Z}^{\text{NP}}$ should match a two-parameter global fit following Eq. (2) [24], which have unfortunately been neglected in Refs. [13, 14, 30].

Many previous studies have focused on heavy Majorana neutrinos for the $R_{K^{(*)}}$ resolution. Nevertheless, as found in Ref. [14], the solution of $R_{K^{(*)}}$ anomalies in the direction $C_{9\mu}^{\text{NP}} = -C_{10\mu}^{\text{NP}}$ is insensitive to Majorana neutrino masses below the electroweak scale. This implies that the difference between heavy and light Majorana neutrinos cannot be simply distinguished by the $R_{K^{(*)}}$ resolution. Besides Majorana neutrinos, topics with Dirac neutrinos have also received increasing attention in recent years, especially in connection with the phenomenologies [31–36] of big-bang nucleosynthesis (BBN) and cosmic microwave background (CMB), as well as the baryon asymmetry of the Universe [37, 38]. Dirac neutrino effects in the $b \rightarrow s\mu^+\mu^-$ process have been found, as noted in Ref. [13]. However, it was found that because $O(1)$ Dirac neutrino Yukawa couplings are generically required to explain $R_{K^{(*)}}$ anomalies, thermalized right-handed Dirac neutrinos with such large couplings in the early Universe would cause an undesired shift in the effective neutrino number, $\Delta N_{\text{eff}} = N_{\text{eff}} - N_{\text{eff}}^{\text{SM}}$, at the BBN and CMB epochs, where the SM prediction reads $N_{\text{eff}}^{\text{SM}} = 3.044 - 3.045$ [39–45]. Nevertheless, the above conclusion depends crucially on how many right-handed Dirac neutrinos are thermalized in the early Universe and the decoupling temperature of thermalized neutrinos, which can result in different levels of the ΔN_{eff} shift.

In addition to the extensive investigations of the heavy nature of Majorana neutrinos used to address $R_{K^{(*)}}$ anomalies, it is also interesting to consider situations in which eV-scale Majorana neutrinos are included. In this paper, we consider NP effects on $R_{K^{(*)}}$ anomalies from either an eV-scale right-handed Majorana or a right-handed Dirac neutrino. Although the NP effects arising from these two cases are indistinguishable in terms of the $R_{K^{(*)}}$ resolution alone, their impacts on the early Universe are different in generating an observable ΔN_{eff} shift owing

to the spinor nature of the neutrinos involved, that is, the Majorana spinor for the former and the Weyl spinor for the latter. Therefore, it becomes possible to distinguish these two solutions via the observation of different ΔN_{eff} shifts in the cosmic regime.

Noticeably, the extra radiation that generates a significant ΔN_{eff} shift is one of the simplest candidates to mitigate Hubble (H_0) tension (see, for example, Refs. [46–50] for the latest reviews), which signifies a notorious discrepancy between the local measurements of the present-day Hubble parameter from the SH0ES collaboration [51–53] (based on the publication years, the three references are dubbed R18, R19, and R20) and the Planck CMB inferred value under the standard Λ CDM baseline [54],

$$H_0 = (67.4 \pm 0.5) \text{ km} \cdot \text{s}^{-1} \cdot \text{Mpc}^{-1}. \quad (3)$$

The H_0 tension is further worsened by the updated SH0ES measurements (R21) [55], with

$$H_0 = (73.04 \pm 1.04) \text{ km} \cdot \text{s}^{-1} \cdot \text{Mpc}^{-1}, \quad (4)$$

enhancing the deviation from the Planck 2018 data to 5σ . A plethora of investigations have introduced a shift in the effective neutrino number, $\Delta N_{\text{eff}} \simeq 1.0$, to *address* H_0 tension [56–59]. As illustrated in Ref. [58], an extra free N_{eff} beyond the original six Λ CDM parameters can cause a genuine shift in the central value of H_0 from Planck measurements, and H_0 tension can be relieved with $N_{\text{eff}} \approx 3.95$. Here, N_{eff} serves as an NP source to shift the Λ CDM predictions inferred from CMB, BAO, and Pantheon Supernovae Type-Ia data so that they are in agreement with the local H_0 measurements. As opposed to estimating N_{eff} simply by combining the high-redshift measurements with local H_0 data (like in many other studies), the data-analyzing method proposed in Ref. [58] opens a new avenue to ease H_0 tension. However, such a large shift is disfavored by high- ℓ Planck CMB polarization measurements [54, 60–62]. More recent analyses have instead showed that a shift of $0.2 < \Delta N_{\text{eff}} < 0.6$ is able to *ease* H_0 tension. For instance, Ref. [63] points out two possible regimes with/without BBN data,

$$3.22 < N_{\text{eff}} < 3.49 \text{ (68% CL) for} \\ \text{CMB+BAO+Pantheon+R19}, \quad (5)$$

$$3.16 < N_{\text{eff}} < 3.40 \text{ (68% CL) for} \\ \text{CMB+BAO+Pantheon+R19+BBN}, \quad (6)$$

in which the SH0ES 2019 measurements (R19) [52] are included. These patterns are also consistent with that observed in Ref. [64], where an additional electron-type lepton asymmetry ξ_e in the neutrino sector is introduced,

giving

$$N_{\text{eff}} = 3.46 \pm 0.13 \text{ (68% CL)}, \quad \xi_e = 0.04 \\ \text{for CMB+BAO+Pantheon+R19+BBN}, \quad (7)$$

with a larger central value of N_{eff} than from Eq. (6). Intriguingly, the introduction of lepton asymmetry is also supported by the very recently probed anomaly in the helium-4 abundance [65], which results in [65–67]

$$N_{\text{eff}} = 3.22^{+0.33}_{-0.30}, \quad \xi_e = 0.05 \pm 0.03. \quad (8)$$

Note that all the central values of N_{eff} obtained above are larger than the previous CMB+BBN result [68], $N_{\text{eff}} = 2.843 \pm 0.154$. Therefore, it can be inferred from Eqs. (5)–(8) that an increased N_{eff} will be helpful to mitigate H_0 tension, though an updated analysis of the combined dataset from CMB+BAO+Pantheon+R21+BBN is currently not available. Other possible patterns, such as the extra radiation in the presence of additional non-free-streaming degrees of freedom (d.o.f) [69, 70], also found that comparable N_{eff} values are favored to ease H_0 tension. Recently, the mitigation of H_0 tension with $\Delta N_{\text{eff}} \simeq O(0.5)$ has been studied in several explicit models [62, 71–73].

The results above suggest that a full resolution of H_0 tension could be a result of multidisciplinary interplay, in which the extra radiation serves a fractional but important role. In relating the observed anomalies in the particle physics domain, it is compelling to consider the situation where the underlying mechanism for the ΔN_{eff} shift is naturally provided by the $R_{K^{(*)}}$ resolution via an eV-scale right-handed Majorana or a right-handed Dirac neutrino, which motivates our present study. In this paper, we show that such a connection can indeed be realized in a flavor-specific 2HDM framework, where only one right-handed Majorana or Dirac neutrino has significant interactions with the extra Higgs bosons present in the model.

The paper is organized as follows. We begin in Sec. II with a description of the framework, dubbed ν 2HDM, and then consider in Sec. III the most relevant constraints from low-energy flavor physics, the perturbative unitarity condition, and LHC direct searches. In Sec. IV, we discuss the NP contributions to $R_{K^{(*)}}$ anomalies and the mitigation of H_0 tension. Then, we present in Sec. V our detailed numerical analyses of the viable parameter space for the $R_{K^{(*)}}$ resolution, as well as the correlation between $R_{K^{(*)}}$ anomalies and H_0 tension. Conclusions are presented in Sec. VI.

II. FLAVOR-SPECIFIC TWO-HIGGS-DOUBLET MODEL

The 2HDM is a simple extension of the SM achieved

by adding a second Higgs doublet to the SM particle content [74, 75]. Any specific 2HDM framework is characterized by its Yukawa interactions and scalar potential, both of which can be specified by either symmetry backgrounds or purely phenomenological considerations. For our purpose in addressing $R_{K^{(*)}}$ anomalies with a link to H_0 tension, we follow a data-driven approach.

A. Quasi-degenerate Higgs mass spectrum

The two Higgs doublets $H_{1,2}$ in the model are constructed in the so-called Higgs basis [75, 76] as

$$H_1 = \begin{pmatrix} G^+ \\ (v + \phi_1 + iG^0)/\sqrt{2} \end{pmatrix}, \quad H_2 = \begin{pmatrix} H^+ \\ (\phi_2 + iA)/\sqrt{2} \end{pmatrix}, \quad (9)$$

where the vacuum expectation value $v \simeq 246$ GeV is responsible for generating the fermion and gauge-boson masses, and $G^{+,0}$ are the Goldstone bosons. Here, we assume a CP -conserving Higgs potential [75]. Then, H^+ and A are the physical charged and neutral pseudo-scalar Higgs bosons, respectively, whereas the neutral scalars $\phi_{1,2}$ are the superposition of the two mass eigenstates H^0 and h , which can generally be written as

$$\phi_1 = h \cos \theta + H^0 \sin \theta, \quad \phi_2 = -h \sin \theta + H^0 \cos \theta, \quad (10)$$

with the mixing angle θ determined completely by the parameters in the Higgs potential. Given that $\cos \theta \approx 1$ is favored by current LHC data on various SM-like Higgs signals (see, for example, Refs. [77, 78] for recent global fits of the 2HDMs), which corresponds to the so-called alignment limit, we consider the case where H_1 is the SM Higgs doublet such that h corresponds to the observed Higgs boson [79, 80], whereas H_2 is the NP doublet with H^0 corresponding to the extra physical neutral scalar.

In the alignment limit, the Higgs potential $V(H_1, H_2)$ can be readily constructed in terms of the free potential parameters governing the Higgs mass spectrum. In principle, these free parameters receive various theoretical and phenomenological constraints, such as vacuum stability, perturbative unitarity, electroweak precision tests, and collider direct detection [77, 78]. Nevertheless, the mass spectrum of the physical states H^+ , H^0 , and A is still undetermined by current LHC direct searches. In particular, a quasi-degenerate Higgs mass spectrum,

$$m_S \equiv m_{H^0} \simeq m_A \simeq m_{H^+}, \quad (11)$$

still remains a possible regime and is covered here.

B. Flavor-specific Yukawa structure

To address $R_{K^{(*)}}$ anomalies with a link to H_0 tension, we consider the following Yukawa interactions:

$$\mathcal{L}_Y = \mathcal{L}_Y^{\text{SM}}(H_1) + \mathcal{L}_Y(H_2), \quad (12)$$

$$\mathcal{L}_Y(H_2) = -X_u \bar{Q}_L \tilde{H}_2 u_R - X_v \bar{E}_L \tilde{H}_2 v_R + \text{h.c.}, \quad (13)$$

where $\mathcal{L}_Y^{\text{SM}}(H_1)$ denotes the SM Yukawa Lagrangian associated with the Higgs doublet H_1 , whereas $\mathcal{L}_Y(H_2)$ encodes the NP interactions related to the second Higgs doublet H_2 , with $\tilde{H}_2 = i\sigma_2 H_2^*$, and σ_2 as the second Pauli matrix. The left-handed fermion doublets \bar{Q}_L and \bar{E}_L are specified as

$$\bar{Q}_L \equiv (\bar{u}_L, \bar{d}_L V^\dagger), \quad \bar{E}_L \equiv (\bar{\nu}_L U^\dagger, \bar{e}_L), \quad (14)$$

respectively, where all the chiral fermions $f_{L,R}$ ($f = u, d, e, \nu$) are physical fields, with V and U representing the Cabibbo-Kobayashi-Maskawa (CKM) and Pontecorvo-Maki-Nakagawa-Sakata (PMNS) matrices, respectively. For the Yukawa matrices $X_{u,v}$, we propose the following phenomenologically viable structure:

$$X_{u,ij} = \kappa_t \delta_{i3} \delta_{j3}, \quad X_{v,ij} = \kappa_\nu \delta_{i2} \delta_{js}, \quad (15)$$

where $\kappa_{t,\nu}$ are the only nonzero *real* effective couplings, and the flavor index s characterizes the one-flavor right-handed neutrino that couples to the muon lepton in the charged scalar current. It should be noted that the explicit right-handed neutrino flavor is irrelevant here and will simply be denoted as $\tilde{\nu}_R$ hereafter.

Our proposal of Eq. (15) comes from various data-driven considerations. In the quark sector, Eq. (13) together with Eq. (15) would induce only neutral scalar currents associated with the top quark, and the charged scalar interactions,

$$\bar{d}_{L,i} V_{ki}^* X_{u,kj} u_{R,j} H^- + \text{h.c.}, \quad (16)$$

have only significant effects on the third generation of quarks owing to the hierarchical structure of the CKM matrix. These patterns comply with the current observation that only significant NP contributions are allowed in the third generation and the flavor-changing neutral scalar currents are severely constrained by experimental data [81–83]. In the lepton sector, however, Eqs. (13) and (15) would indicate that there are only neutral scalar currents in the neutrino sector, whereas the charged scalar interaction is only stimulated by the one-flavor right-handed neutrino $\tilde{\nu}_R$ that has a significant coupling to the muon lepton, namely,

$$\kappa_\nu \bar{\mu}_L \tilde{\nu}_R H^- + \text{h.c.} \quad (17)$$

Such particular patterns closely follow the tight

bounds from the charged lepton-flavor violating processes $\ell_i \rightarrow \ell_j \gamma$ mediated by the right-handed neutrino at the loop level [14] and the muon decay $\mu \rightarrow e \nu \bar{\nu}$ mediated by the charged Higgs at the tree level. Furthermore, the reason for allowing only one rather than two or three flavors of right-handed neutrinos to interact with the muon lepton comes from the observation that if more than one right-handed neutrino has significant couplings to account for $R_{K^{(*)}}$ anomalies, the resulting parameter space will readily force them to establish thermal equilibrium in the early Universe and thus generate an unacceptably large ΔN_{eff} shift [13, 32], as confirmed later in this paper. Finally, it should be emphasized that, because we are interested in the connection between $R_{K^{(*)}}$ anomalies and H_0 tension via a minimal setup, other couplings not considered in $X_{u,v}$ do not necessarily need to vanish, but rather they signify the meaning of *phenomenological smallness* in their own right. Furthermore, we are not concerned with the symmetry underlying such a flavor-specific Yukawa structure given by Eq. (15), though interesting possibilities, such as Branco-Grimus-Lavoura-based scenarios [84] and mass-powered-like textures [85], may deserve further exploitation.

The above considerations result in our flavor-specific 2HDM framework that points toward significant NP effects associated with the top quark t and one-flavor right-handed neutrino $\tilde{\nu}_R$ and will therefore be dubbed the $t\nu$ 2HDM hereafter. As mentioned in Sec. I, the neutrino nature, being of the Majorana or Dirac type, is unspecified by the $R_{K^{(*)}}$ resolution alone. However, when $\tilde{\nu}_R$ is an eV-scale Majorana neutrino, its impact on the ΔN_{eff} shift will be different from that with a Dirac neutrino, especially when the shift is linked to the mitigation of H_0 tension. Furthermore, if $\tilde{\nu}_R$ is of the Majorana type, it can be embedded into the seesaw mechanism (see, for example, Refs. [86, 87] for recent comprehensive reviews), where two more right-handed Majorana neutrinos are introduced with the presence of a Majorana mass term,

$$\frac{1}{2} \overline{(\nu_R)^c} M_R \nu_R + \text{h.c.}, \quad (18)$$

where $(\nu_R)^c = C \bar{\nu}_R^T$, and $C = i\gamma^2 \gamma^0$ is the charge conjugation matrix. Then, the active neutrino masses are generated via the seesaw formula,

$$m_{\nu,ij} \simeq -\frac{v^2}{M_{R,k}} Y_{\nu,ik} Y_{\nu,jk}, \quad (19)$$

in the basis where the symmetric matrix M_R is already diagonal. Here, Y_ν is the neutrino Yukawa matrix from $\mathcal{L}_Y^{\text{SM}}(H_1)$ in Eq. (12), that is,

$$Y_\nu \bar{E}_L \tilde{H}_1 \nu_R + \text{h.c.}, \quad (20)$$

encoding active-sterile neutrino mass mixing and mixing-induced interactions via [88]

$$W_{\nu,ij}^* \simeq \frac{v}{M_{R,j}} Y_{\nu,ij}. \quad (21)$$

An important observation arises if one of the sterile neutrino eigenstates is at the eV-scale, for example, $M_{R,1} \simeq \mathcal{O}(\text{eV})$. To avoid the constraints on active-sterile neutrino mixing [89], particularly for an eV-scale sterile neutrino [90], the first column of Y_ν must be strongly suppressed. Otherwise, $W_{\nu,1l}$ would be enhanced by a factor of $v/M_{R,1} \simeq 10^{11}$. In the asymptotically safe limit, $Y_{\nu,1l} = 0$, the active neutrino mass matrix from Eq. (19) would be of rank two, making the lightest active neutrino massless. Therefore, if the eV-scale $\tilde{\nu}_R$ belongs to the lightest sterile neutrino in the seesaw mechanism, the lightest active neutrino in the 3ν oscillation paradigm [91] is essentially massless. Further constraints on $W_{\nu,ij}$ are not discussed in the following because the NP effects under consideration in this paper do not rely on the neutrino Yukawa matrix Y_ν . If $\tilde{\nu}_R$ is of the Dirac type, however, the Dirac neutrino mass may also be generated via Eq. (20) but with the absence of the Majorana mass term given by Eq. (18). In either case, Eq. (13) will encode all the NP interactions concerned in this paper.

In the following sections, we consider important constraints from flavor and collider physics, as well as perturbative unitarity on the $t\nu 2\text{HDM}$ framework, which is only characterized by the three free parameters κ_t , κ_ν , m_S , and show the viable parameter space in addressing $R_{K^{(*)}}$ anomalies. We further show that the resulting favored parameter space induces the shift $\Delta N_{\text{eff}} \simeq 1.0$ in the Dirac neutrino case and $\Delta N_{\text{eff}} \simeq 0.5$ in the Majorana case.

III. PHENOMENOLOGICAL AND THEORETICAL CONSTRAINTS

The $t\nu 2\text{HDM}$ framework indicates significant NP effects associated with the third generation of quarks and the muon lepton. In this section, we discuss the most relevant constraints on the model from low-energy flavor physics, the perturbative unitarity condition, and LHC direct searches.

A. Constraints from low-energy flavor physics

1. $\bar{B} \rightarrow X_s \gamma$

In the framework of low-energy effective field theory, the effective Hamiltonian governing the radiative $b \rightarrow s\gamma$ decay at the scale $\mu_b \simeq \mathcal{O}(m_b)$ reads as

$$\begin{aligned} \mathcal{H}_{\text{eff}}(b \rightarrow s\gamma) = & -\frac{4G_F}{\sqrt{2}} V_{ts}^* V_{tb} \left[\sum_{i=1}^6 C_i(\mu_b) O_i \right. \\ & \left. + C_{7\gamma}^{(\prime)}(\mu_b) O_{7\gamma}^{(\prime)} + C_{8g}^{(\prime)}(\mu_b) O_{8g}^{(\prime)} \right], \end{aligned} \quad (22)$$

where $G_F = 1/(\sqrt{2}v^2)$ is the Fermi constant, and the terms proportional to $V_{us}^* V_{ub}$ are neglected in view of $|V_{us}^* V_{ub}/V_{ts}^* V_{tb}| < 0.02$. The explicit expressions of the current-current ($O_{1,2}$) and QCD-penguin (O_{3-6}) operators can be found in, for example, Refs. [92–95], whereas the magnetic dipole operators are defined, respectively, by

$$\begin{aligned} O_{7\gamma}^{(\prime)} &\equiv \frac{e}{16\pi^2} m_b (\bar{s} \sigma^{\mu\nu} P_{R(L)} b) F_{\mu\nu}, \\ O_{8g}^{(\prime)} &\equiv \frac{g_s}{16\pi^2} m_b (\bar{s} \sigma^{\mu\nu} T^a P_{R(L)} b) G_{\mu\nu}^a, \end{aligned} \quad (23)$$

where $P_{R,L} = (1 \pm \gamma_5)/2$ are the right- and left-handed chirality projectors.

In the $t\nu 2\text{HDM}$ framework, the NP contributions to the Wilson coefficients C_{1-6} are absent, and their contributions to the primed dipole coefficients $C'_{7\gamma,8g}$ are suppressed by the ratio m_s/m_b . As a consequence, the dominant NP influence on the $b \rightarrow s\gamma$ transition stems from the unprimed $C_{7\gamma}$ and C_{8g} . After a direct evaluation of the one-loop penguin diagrams with the charged Higgs running in the loop, as shown in Fig. 1, we can obtain the NP Wilson coefficients at the matching scale $\mu_S \simeq \mathcal{O}(m_S)$ [93–95],

$$C_{7\gamma}^{\text{NP}}(\mu_S) = \frac{\sqrt{2}\kappa_t^2}{4G_F m_S^2} E_{7\gamma}^{\text{NP}}, \quad C_{8g}^{\text{NP}}(\mu_S) = \frac{\sqrt{2}\kappa_t^2}{4G_F m_S^2} E_{8g}^{\text{NP}}, \quad (24)$$

where the scalar functions are defined, respectively, by

$$E_{7\gamma}^{\text{NP}} = \frac{-7 + 12z_t + 3z_t^2 - 8z_t^3 + 6z_t(3z_t - 2)\ln z_t}{72(1-z_t)^4}, \quad (25)$$

$$E_{8g}^{\text{NP}} = \frac{-2 - 3z_t + 6z_t^2 - z_t^3 - 6z_t \ln z_t}{24(1-z_t)^4}, \quad (26)$$

with $z_t \equiv m_t^2/m_S^2$, and m_t is the top-quark $\overline{\text{MS}}$ mass.

To evaluate the NP contributions to the branching ratio $\mathcal{B}(\bar{B} \rightarrow X_s \gamma)$, we must run the Wilson coefficients from the matching scale μ_S down to the low-energy scale μ_b [96, 97]. Generically, the Wilson coefficient $C_{7\gamma}(\mu_b)$ can be divided into two parts,

$$C_{7\gamma}(\mu_b) = C_{7\gamma}^{\text{SM}}(\mu_b) + C_{7\gamma}^{\text{NP}}(\mu_b), \quad (27)$$

which contributes to $\mathcal{B}(\bar{B} \rightarrow X_s \gamma)$ with a photon-energy

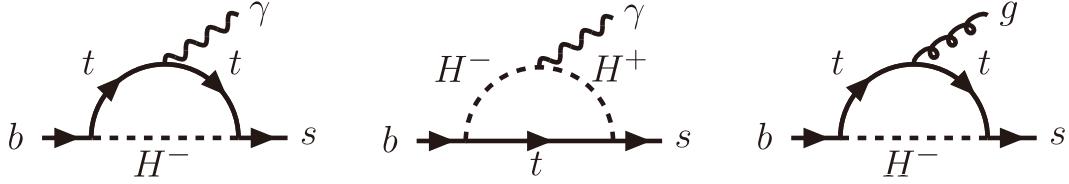


Fig. 1. Relevant NP photon- (the first two) and gluon-penguin (the last) diagrams contributing to the inclusive radiative $\bar{B} \rightarrow X_s \gamma$ decay.

cutoff $E_\gamma < 1.6$ GeV via [96, 97]

$$\mathcal{B}(\bar{B} \rightarrow X_s \gamma)_{E_\gamma < 1.6 \text{ GeV}} = R |C_{7\gamma}(\mu_b)|^2. \quad (28)$$

Here, the overall factor reads numerically as $R = 2.47 \times 10^{-3}$, and we neglect the small non-perturbative effect. The SM contribution $C_{7\gamma}^{\text{SM}}(\mu_b)$ has been calculated up to the next-to-next-to-leading order in QCD [98–100], and the resulting numerical value reads as [101, 102]

$$C_{7\gamma}^{\text{SM}}(\mu_b) = -0.371 \pm 0.009, \quad (29)$$

whereas the NP part $C_{7\gamma}^{\text{NP}}(\mu_b)$ is given by

$$C_{7\gamma}^{\text{NP}}(\mu_b) = \kappa_7 C_{7\gamma}^{\text{NP}}(\mu_S) + \kappa_8 C_{8g}^{\text{NP}}(\mu_S), \quad (30)$$

where $C_{7\gamma}^{\text{NP}}(\mu_S)$ and $C_{8g}^{\text{NP}}(\mu_S)$ are already given by Eq. (24), and the magic numbers are evaluated to be $\kappa_7 = 0.457$ and $\kappa_8 = 0.125$ at the NP scale $\mu_S \sim O(1)$ TeV.

By comparing the theoretical prediction given by Eq. (28) with the current world-averaged experimental data [103],

$$\mathcal{B}(\bar{B} \rightarrow X_s \gamma)_{E_\gamma < 1.6 \text{ GeV}}^{\text{exp}} = (3.32 \pm 0.15) \times 10^{-4}, \quad (31)$$

we can set bounds on the NP Wilson coefficients presented in Eq. (24), and the allowed parameter space (κ_t, m_S) can therefore be extracted. In Sec. V, we apply the $\mathcal{B}(\bar{B} \rightarrow X_s \gamma)$ constraint within a 1σ uncertainty.

2. $B_{d,s}^0 - \bar{B}_{d,s}^0$ mixings

Next, we turn our attention to the mass differences $\Delta M_{d,s}$ describing the strength of $B_{d,s}^0 - \bar{B}_{d,s}^0$ mixings. The theoretical description of $B_{d,s}^0 - \bar{B}_{d,s}^0$ mixings can be realized in terms of the low-energy effective Hamiltonian

$$\begin{aligned} \mathcal{H}_{\text{eff}}^{\Delta B=2} = & \frac{G_F^2}{16\pi^2} m_W^2 (V_{tb}^* V_{tq})^2 \left[\sum_{i=1}^5 C_{iq}(\mu_b) Q_{iq} \right. \\ & \left. + \sum_{i=1}^3 \tilde{C}_{iq}(\mu_b) \tilde{Q}_{iq} \right] + \text{h.c.}, \end{aligned} \quad (32)$$

where m_W is the W -boson mass, and $q = d(s)$ for the neutral $B_{d(s)}$ meson. The explicit expressions of the four-quark operators can be found in, for example, Refs. [104, 105].

In both the SM and $t\bar{t}2\text{HDM}$ framework, the only significant Wilson coefficient responsible for neutral B -meson mixing originates from $C_{1q}(\mu_b)$, which corresponds to the four-quark operator

$$Q_{1q} = (\bar{b}_\alpha \gamma_\mu P_L q_\alpha) (\bar{b}_\beta \gamma^\mu P_L q_\beta), \quad (33)$$

where the Greek letters α and β denote the quark color indices. The mass difference of neutral B -meson mixing can be expressed in terms of the off-diagonal matrix element, $\Delta M_q = 2|\mathcal{M}_{12}^q|$, with the latter given by [104, 105]

$$\begin{aligned} [\mathcal{M}_{12}^q]^* = & \langle \bar{B}_q^0 | \mathcal{H}_{\text{eff}}^{\Delta B=2} | B_q^0 \rangle \\ = & \frac{G_F^2}{16\pi^2} m_W^2 (V_{tb}^* V_{tq})^2 C_{1q}(\mu_b) \langle \bar{B}_q^0 | Q_{1q} | B_q^0 \rangle. \end{aligned} \quad (34)$$

Here, the hadronic matrix element $\langle \bar{B}_q^0 | Q_{1q} | B_q^0 \rangle$ encodes the non-perturbative QCD effect, whereas the perturbative contribution is absorbed into the short-distance Wilson coefficient $C_{1q}(\mu_b)$. Normalizing NP to the SM contribution, we can parameterize the theoretical prediction of ΔM_q as

$$\Delta M_q = \Delta M_q^{\text{SM}} |1 + \Delta_q^{\text{NP}}|, \quad (35)$$

where ΔM_q^{SM} denotes the SM contribution. For the NP scale of $O(1)$ TeV considered in this paper, the correction Δ_q^{NP} is given by

$$\Delta_q^{\text{NP}} = U^{(0)}(\mu_W, \mu_t) U^{(0)}(\mu_t, \mu_S) \frac{C_{1q}^{\text{NP}}(\mu_S)}{C_{1q}^{\text{SM}}(\mu_W)}, \quad (36)$$

where $U^{(0)}(\mu_i, \mu_j)$ represents the leading-order QCD evolution function from the high-scale μ_j to low-scale μ_i [104], and $C_{1q}^{\text{SM}}(\mu_W)$ is the SM Wilson coefficient evaluated at $\mu_W \simeq O(m_W)$. Here, we take into account the threshold effect when evolving across the top-quark mass scale $\mu_t \simeq O(m_t)$ [104]. The NP contribution $C_{1q}^{\text{NP}}(\mu_S)$ is

obtained by evaluating the box diagrams shown in Fig. 2, leading to

$$C_{1q}^{\text{NP}}(\mu_S) = C_{1q}^{H-H}(\mu_S) + C_{1q}^{H-G}(\mu_S) + C_{1q}^{H-W}(\mu_S), \quad (37)$$

in which the different parts are given, respectively, as

$$C_{1q}^{H-H}(\mu_S) = \frac{\kappa_t^4}{8G_F^2 m_S^4} \mathcal{I}(z_t, z_W), \quad (38)$$

$$C_{1q}^{H-G}(\mu_S) = \frac{\kappa_t^2}{\sqrt{2} G_F m_S^2} \mathcal{J}(z_t, z_W), \quad (39)$$

$$C_{1q}^{H-W}(\mu_S) = \frac{2\sqrt{2}\kappa_t^2 m_W^2}{G_F m_S^4} \mathcal{K}(z_t, z_W), \quad (40)$$

where $z_W \equiv m_W^2/m_S^2$, and we introduce the following scalar functions:

$$\mathcal{I}(z_t, z_W) = \frac{1 - z_t^2 + 2z_t \ln z_t}{z_W(1 - z_t)^3}, \quad (41)$$

$$\begin{aligned} \mathcal{J}(z_t, z_W) = & \frac{-z_t^2}{z_W(1 - z_t)(z_t - z_W)} \\ & + \frac{z_t z_W \ln(z_t/z_W)}{(1 - z_W)(z_t - z_W)^2} - \frac{z_t \ln z_t}{z_W(1 - z_W)(1 - z_t)^2}, \end{aligned} \quad (42)$$

$$\begin{aligned} \mathcal{K}(z_t, z_W) = & \frac{z_t}{z_W(1 - z_t)(z_t - z_W)} \\ & - \frac{z_t \ln(z_t/z_W)}{(1 - z_W)(z_t - z_W)^2} + \frac{z_t \ln z_t}{z_W(1 - z_W)(1 - z_t)^2}. \end{aligned} \quad (43)$$

The current world-averaged experimental measurements are [106]

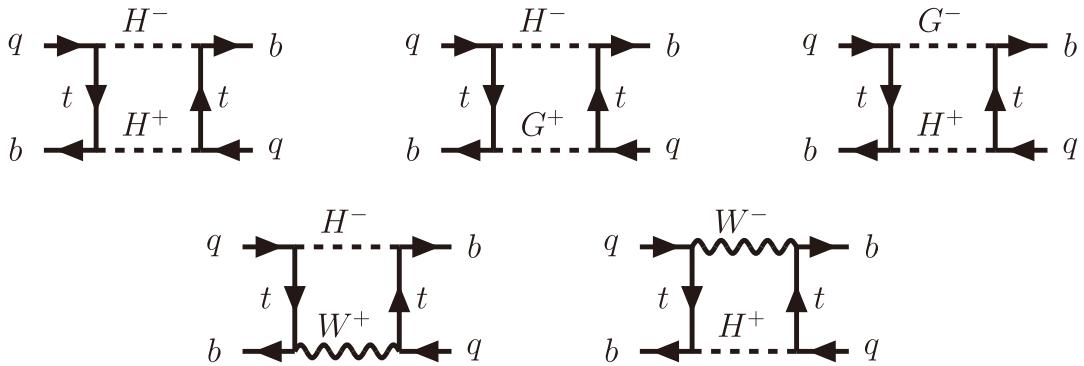


Fig. 2. Relevant NP box diagrams responsible for $B_q^0 - \bar{B}_q^0$ mixing in the 't Hooft-Feynman gauge.

$$\Delta M_d^{\text{exp}} = 0.5065 \pm 0.0019 \text{ ps}^{-1},$$

$$\Delta M_s^{\text{exp}} = 17.765 \pm 0.006 \text{ ps}^{-1}, \quad (44)$$

both of which carry considerably smaller uncertainties than those of the corresponding theoretical predictions [107–110]. In particular, based on the bag parameters calculated in full four-flavor lattice QCD for the first time, the HPQCD collaboration found that [108]

$$\Delta M_d^{\text{SM}} = 0.555^{+0.040}_{-0.062} \text{ ps}^{-1}, \quad (45)$$

$$\Delta M_s^{\text{SM}} = 17.59^{+0.85}_{-1.45} \text{ ps}^{-1},$$

in which the central value of ΔM_d^{SM} is larger than the experimental data. This in turn implies a discrepancy for the ratio $\Delta M_d/\Delta M_s$ at $\sim 1.7\sigma$. However, an earlier computation based on the most accurate numerical inputs at that time found that [107]

$$\Delta M_s^{\text{SM}} = (20.01 \pm 1.25) \text{ ps}^{-1}, \quad (46)$$

the central value of which is $\sim 1.8\sigma$ above the experimental one given by Eq. (44). Such a difference has profound implications for NP models that predict sizable positive contributions to $B_s^0 - \bar{B}_s^0$ mixing [107]. While the discrepancies observed in $\Delta M_{d,s}$ are not yet conclusive owing to the large theoretical uncertainties, it is interesting to note that an excess over the SM predictions cannot be reconciled with the $R_{K^{(*)}}$ resolution in the $t\nu 2\text{HDM}$ framework because NP effects on $\Delta M_{d,s}$ are always positive, as shown from Eq. (37). Therefore, if confirmed with more precise experimental measurements and theoretical predictions, the discrepancy will entail additional NP sources beyond the minimal setup considered in this study.

In view of the above observations, we apply in this study the HPQCD results for $\Delta M_{d,s}$ given by Eq. (45) as constraints but vary the uncertainties within 3σ conservatively. We would like to emphasize again that the con-

straining power from $\Delta M_{d,s}$ can be highly efficient only when the theoretical uncertainties from, for example, B -meson decay constants, bag parameters, and CKM elements are significantly reduced [107].

3. $K_{S,L} \rightarrow \mu^+ \mu^-$ decays and $K^0 - \bar{K}^0$ mixing

Besides the B -meson observables discussed above, the $t\nu 2\text{HDM}$ also has an impact on K -meson observables, such as the branching ratios of the $K_{S,L} \rightarrow \mu^+ \mu^-$ decays as well as the mass difference ΔM_K and the ϵ_K parameter of $K^0 - \bar{K}^0$ mixing. However, because kaons are composed of two light quarks, that is, the up (down) and strange quarks, whereas NP interactions in the quark sector within our framework always connect with the top quark (see Eq. (15)), their leading contributions to K decays and mixing must first stem from one-loop diagrams with the top quark and charged Higgs running in the loop. This implies that the impact of NP on K -meson observables is suppressed by both the loop factor and these heavy particle masses, as well as the CKM entries involved.

We explicitly evaluate the short-distance NP contributions to the branching ratios of the $K_{L,S} \rightarrow \mu^+ \mu^-$ decays and find that they only result in a negligible effect on the branching ratios of the $K_{L,S} \rightarrow \mu^+ \mu^-$ decays, especially when the sign of the long-distance contribution is chosen to be destructive with the short-distance part [111–113]. We also check if the resulting parameter space of the $t\nu 2\text{HDM}$ complies with the constraint from $K^0 - \bar{K}^0$ mixing. To this end, fixing the free parameters at a typical benchmark point $(\kappa_t, m_S) \sim (1.0, 1000\text{ GeV})$, we numerically find a significantly weaker impact on $K^0 - \bar{K}^0$ mixing compared with that obtained through a global fit study [81]. Thus, from these observations, we may safely conclude that K -meson observables do not place any significant constraints on the $t\nu 2\text{HDM}$ compared with those obtained from B -meson observables.

As a consequence, we do not show the constraints from K -meson observables in the numerical analysis below.

4. LFU tests via Z - and W -boson decays

Let us now consider the constraints from the LFU ratios of the di-lepton decays of Z and W gauge bosons, $\Gamma(Z \rightarrow \mu^+ \mu^-)/\Gamma(Z \rightarrow \ell^+ \ell^-)$ and $\Gamma(W \rightarrow \mu \bar{\nu})/\Gamma(W \rightarrow \ell \bar{\nu})$, where $\ell = e$ or τ . For both of these two cases, by encoding one-loop NP corrections into the renormalized effective vertex in the on-shell scheme, we can readily derive the NP contributions to these LFU ratios.

For Z -boson decays, the LFU ratio $R_{\mu\ell}^Z$ can be parameterized as

$$R_{\mu\ell}^Z = \frac{\Gamma(Z \rightarrow \mu^+ \mu^-)}{\Gamma(Z \rightarrow \ell^+ \ell^-)} = R_{\mu\ell}^{Z,\text{SM}} \left[1 + \frac{2 \text{Re}(g_{V,Z}^{\text{SM}} \cdot g_{V,Z}^{\mu,\text{NP}*} + g_{A,Z}^{\text{SM}} \cdot g_{A,Z}^{\mu,\text{NP}*})}{|g_{V,Z}^{\text{SM}}|^2 + |g_{A,Z}^{\text{SM}}|^2} \right], \quad (47)$$

in the vanishing lepton mass limit. Here, $R_{\mu\ell}^{Z,\text{SM}}$ is the SM contribution, and the SM couplings are given by $g_{V,Z}^{\text{SM}} = -1/2 + 2s_W^2$ and $g_{A,Z}^{\text{SM}} = -1/2$, with $s_W^2 \equiv \sin^2 \theta_W \simeq 0.23$ [114]. It should be noted that the NP contribution to the electron/tauon mode is absent in view of the flavor-specific Yukawa structure given by Eq. (15). Given that the charged Higgs only couples to the left-handed muon (cf. Eq. (17)), whereas neutral scalars do not interact with the muon (cf. Eq. (13)), the NP contribution to the LFU ratio originates solely from the H^+ -mediated loop diagram, as shown by the left Feynman diagram in Fig. 3. We explicitly arrive at

$$g_{V,Z}^{\mu,\text{NP}} = g_{A,Z}^{\mu,\text{NP}} = -\frac{\kappa_V^2 m_Z^2 c_{2W}}{576\pi^2 m_S^2}, \quad (48)$$

where m_Z is the Z -boson mass, and $c_{2W} = 1 - 2s_W^2$. Additionally, in the quasi-degenerate limit for the Higgs mass spectrum, as given by Eq. (11), the NP contributions to the decay $Z \rightarrow \mu^+ \mu^-$ from the two neutral Higgs bosons H and A cancel out to a large extent, leaving the dominant NP effect from the left Feynman diagram shown in Fig. 3.

For the W -boson decays, the NP effect arises from the right Feynman diagram shown in Fig. 3. The resulting expression for the LFU ratio $R_{\mu\ell}^W$ in the vanishing lepton mass limit can be analogously obtained by replacing Z with W in Eq. (47). The corresponding effective couplings are now given by $g_{V,W}^{\text{SM}} = g_{A,W}^{\text{SM}} = 1/2$, and

$$g_{V,W}^{\mu,\text{NP}} = g_{A,W}^{\mu,\text{NP}} = \frac{\kappa_V^2 m_W^2}{576\pi^2 m_S^2}. \quad (49)$$

Note that in deriving the above equation, we make use of the quasi-degenerate Higgs mass spectrum given by Eq. (11).

Then, by comparing the theoretical predictions with the experimental data [114, 115]

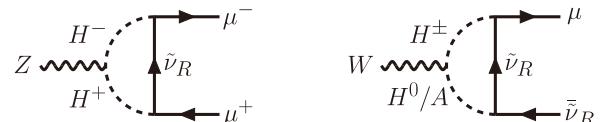


Fig. 3. NP contributions to the di-lepton decays $Z \rightarrow \mu^+ \mu^-$ (left) and $W \rightarrow \mu \bar{\nu}$ (right).

$$R_{\mu e}^{Z,\text{exp}} = 1.0001 \pm 0.0024, \quad R_{\mu e}^{W,\text{exp}} = 0.993 \pm 0.020, \quad (50)$$

$$R_{\mu \tau}^{Z,\text{exp}} = 0.9981 \pm 0.0040, \quad R_{\mu \tau}^{W,\text{exp}} = 1.008 \pm 0.013, \quad (51)$$

we can extract the bounds on the NP parameter space (κ_ν, m_S) . To this end, we take the constraints as the experimental data within 1σ uncertainties.

B. Constraints from the perturbative unitarity

In addition to the severe constraints from low-energy flavor physics, theoretical considerations, such as the bounded-from-below limit on the scalar potential and the perturbative unitarity condition of high-energy scattering amplitudes (see, for example, Ref. [75] and references therein), may also render tight bounds on NP parameter space. Here, we consider the vital requirement of perturbative unitarity for the Yukawa sector [116].

Generally, the perturbative unitarity bounds can be derived using the so-called partial wave expansion approach [117]. For the case of $2 \rightarrow 2$ scattering processes in the high-energy massless limit, the partial waves a_{fi}^J with fixed total angular momentum J are explicitly defined by [117]

$$a_{fi}^J = \frac{1}{32\pi} \int_{-1}^1 d\cos\theta d_{\mu_i \mu_f}^J(\theta) \mathcal{T}_{fi}(\sqrt{s}, \cos\theta), \quad (52)$$

where $d_{\mu_i \mu_f}^J(\theta)$ are small Wigner d -functions, with $\mu_i = \lambda_{i_1} - \lambda_{i_2}$ and $\mu_f = \lambda_{f_1} - \lambda_{f_2}$ characterizing the total helicities of the initial and final states, respectively, and $\mathcal{T}_{fi}(\sqrt{s}, \cos\theta)$ are the invariant scattering amplitudes $(2\pi)^4 \delta^{(4)}((p_{i_1} + p_{i_2}) - (p_{f_1} + p_{f_2})) i \mathcal{T}_{fi}(\sqrt{s}, \cos\theta) = \langle f | S - 1 | i \rangle$, which are related to the S matrix via $S = 1 + i \mathcal{T}$. Here, θ is the polar scattering angle in the center-of-mass frame, and \sqrt{s} is the center-of-mass energy. By focusing on the elastic channels, that is, $|i\rangle = |f\rangle$, corresponding to forward scattering with the same spin and internal variables in the initial- and final-state configurations, and restricting the sum over the intermediate states only to two-particle states, we can obtain from the unitarity condition of the S matrix, $S^\dagger S = 1$, the following reliable bounds on the tree-level partial-wave scattering matrices [116]:

$$|a_{ii}^{J,\text{tree}}| \leq \frac{1}{2}, \quad (53)$$

which give a reasonable indication of the range of validity of the perturbative expansion.

To extract the best perturbative unitarity bounds from Eq. (53), we must then identify the optimal elastic channels. To this end, we must first obtain concrete expressions for the scattering amplitudes $\mathcal{T}_{fi}(\sqrt{s}, \cos\theta)$, which

depend on the definite Yukawa structure and scalar potential as well as the underlying symmetry properties of the model under consideration. Using $\mathcal{T}_{fi}(\sqrt{s}, \cos\theta)$, it is then straightforward to obtain the perturbative unitarity bound for each entry of Eq. (53) by performing the convolution with the Wigner d -functions and integration over the polar angle θ (cf. Eq.(52)) and then finding the eigenvalues of the partial-wave matrices a_{fi}^J . For generic fermionic Yukawa interactions, owing to the presence of different spin states in the scattering processes, this can be most efficiently achieved in the Jacob-Wick formalism [117]. However, the traditional method for calculating $\mathcal{T}_{fi}(\sqrt{s}, \cos\theta)$ relies on computing all the matrix entries, which becomes very involved and highly inefficient when the transition matrix has large dimensions. Recently, it was noticed that the determination of perturbative unitarity bounds in this case can be simplified by decomposing each scattering amplitude with different J into a Lorentz part that depends only on the spin and helicity of the fields involved and a group-theoretical part that depends only on their symmetry quantum numbers [116]. The only complication in the method is then attributed to the calculation of the symmetry factors, while the Lorentz parts are universal for different group structures [116].

To obtain the perturbative unitarity bounds on the Yukawa parameters κ_ν and κ_t of the $t\nu 2\text{HDM}$, we employ the results derived in Ref. [116]. For the lepton part, which is characterized by the SM gauge group $SU(2)_L \times U(1)_Y$, the most stringent bound originates from the P -wave amplitude, that is, $J = 1$, and imposes an upper limit on the muon-related coupling κ_ν .

$$\kappa_\nu < \sqrt{4\pi \times (\sqrt{5} - 1)} \approx 3.94. \quad (54)$$

For the quark part, however, the constraints are different because quarks carry an additional color quantum number under the gauge group $SU(3)_C$. As a consequence, the tightest constraint on the top-related coupling κ_t stems from the S -wave amplitude with $J = 0$, which leads to

$$\kappa_t < \sqrt{8\pi/3} \approx 2.89, \quad (55)$$

and hence a more stringent bound than on κ_ν .

C. Constraints from LHC direct searches

In the $t\nu 2\text{HDM}$ framework, as the quasi-degenerate mass regime in the alignment limit is considered, we can see that the H^0 and A decay modes $H^0/A \rightarrow AZ/H^0Z$, $H^0/A \rightarrow H^\pm W^\mp$, and $H^0 \rightarrow AA, H^+H^-$ are all forbidden, and the tree-level triple couplings $H^0/A - V - V$ (where V denotes one of the gauge vector bosons $W/Z/\gamma$ and gluons) and $H^0/A - Z(h) - h$ are absent. This implies that

for the heavy scalars concerned in this paper, their dominant decay modes are the top-quark and neutrino pair, whereas the di-boson modes are suppressed by the loop factor and, more importantly, by the mass ratio m_t/m_S [118]. Therefore, the decay width of the neutral scalars is approximately given by

$$\begin{aligned}\Gamma_S \approx & \Gamma(S \rightarrow t\bar{t}) + \Gamma(S \rightarrow \nu\bar{\nu}) \\ = & \frac{m_S}{16\pi} \left[3\kappa_t^2 \left(1 - \frac{4m_t^2}{m_S^2} \right)^{n_S} + \kappa_\nu^2 \right],\end{aligned}\quad (56)$$

where $n_S = 3/2$ for $S = H^0$, and $n_S = 1/2$ for $S = A$.

Currently, LHC direct searches for neutral scalar productions have been performed by ATLAS with a center-of-mass energy $\sqrt{s} = 8$ TeV [119] and the CMS collaboration with $\sqrt{s} = 13$ TeV [120] in the channel $pp \rightarrow S \rightarrow t\bar{t}$. In particular, the CMS results set model-independent constraints on the coupling modifiers $g_{S\bar{t}t}$ between the scalar S and the top quark:

$$\mathcal{L}_{\bar{t}tS} = -g_{H^0\bar{t}t} \frac{m_t}{v} \bar{t}tH^0 + ig_{A\bar{t}t} \frac{m_t}{v} \bar{t}\gamma_5 tA. \quad (57)$$

The exclusion limits on $g_{S\bar{t}t}$ can then be translated into the allowed regions of the $tv2\text{HDM}$ free parameters $\kappa_{t,\nu}$ and m_S . To this end, we must notice that the exclusion limits set by the CMS collaboration are obtained by assuming a fixed decay width Γ_S , with $\Gamma_S/m_S = [0.5, 25]\%$. However, as inferred from Eq. (56), for $\kappa_{t,\nu} \sim O(1)$ and $m_S \gtrsim 500$ GeV, a ratio of $\Gamma_S/m_S \gtrsim (4\% - 5\%)$ is obtained. As a consequence, we only apply the two benchmark points $\Gamma_S/m_S = 10\%$ and $\Gamma_S/m_S = 25\%$, selected in Ref. [120], to obtain a rough constraint on $\kappa_{t,\nu}$ for a fixed scalar mass.

More significant constraints on the model parameters have arisen from LHC direct searches for the charged Higgs performed over the past few years. Both the ATLAS and CMS collaborations have covered several decay channels of the charged Higgs, which are dominated by the $\tau\nu$ [121, 122] and tb [123, 124] final states. Recently, it was noticed in Ref. [125] that the $\mu\nu$ final state can also be an excellent complementary discovery channel of the charged Higgs. However, a comprehensive search for such a channel at the LHC is not yet available, and thus there is no significant bound on NP parameter space from the decay. Specific to the $tv2\text{HDM}$ framework, the decay modes of the charged Higgs are dominated by the tb and $\mu\nu$ final states, and the $\tau\nu$ mode is suppressed under the flavor-specific Yukawa structure of Eq. (15), with the total decay width given approximately by

$$\begin{aligned}\Gamma_{H^\pm} \approx & \Gamma(H^+ \rightarrow t\bar{b}) + \Gamma(H^+ \rightarrow \mu^+\nu) \\ = & \frac{m_S}{16\pi} \left[3\kappa_t^2 |V_{tb}|^2 \left(1 - \frac{m_t^2}{m_S^2} \right)^2 + \kappa_\nu^2 \right],\end{aligned}\quad (58)$$

where we neglect the bottom-quark and muon masses.

To obtain the viable parameter space of $(\kappa_t, \kappa_\nu, m_S)$, we apply the latest results from ATLAS [123] and CMS [124] with $\sqrt{s} = 13$ TeV, where the model-independent exclusion limits on the tb -associated production cross section $\sigma(pp \rightarrow H^\pm tb)$ times the branching fraction $\mathcal{B}(H^\pm \rightarrow tb)$ are obtained for the charged-Higgs mass at $[0.2, 2]$ TeV and $[0.2, 3]$ TeV, respectively, although the constraints from the CMS results are weaker than those from the ATLAS searches. For the theoretical prediction in the $tv2\text{HDM}$ framework, we calculate the cross section $\sigma(pp \rightarrow H^\pm tb)$ using the computer program MadGraph5_aMC@NLO [126], with the charged-Higgs decay width given by Eq. (58).

IV. MITIGATION OF H_0 TENSION VIA THE $R_{K^{(*)}}$ RESOLUTION

A. Two-parameter resolution of $R_{K^{(*)}}$ anomalies

The right-handed neutrino $\tilde{\nu}_R$ with its interaction specified by Eq. (17) contributes to the $b \rightarrow s\ell^+\ell^-$ process mainly via the box diagram shown in Fig. 4(a). Its contribution can be described by the effective weak Hamiltonian

$$\mathcal{H}_{\text{eff}}^{\text{NP}} = -\frac{G_F}{\sqrt{2}} \frac{\alpha_e}{\pi} V_{tb} V_{ts}^* (C_9 O_9 + C_{10} O_{10}) + \text{h.c.}, \quad (59)$$

where $\alpha_e = e^2/(4\pi)$ is the fine-structure constant, and the two effective operators are defined, respectively, as

$$O_9 \equiv (\bar{s}\gamma_\mu P_L b)(\bar{\ell}\gamma^\mu \ell), \quad O_{10} \equiv (\bar{s}\gamma_\mu P_L b)(\bar{\ell}\gamma^\mu \gamma_5 \ell), \quad (60)$$

with the corresponding LFU-violating Wilson coefficients $C_{9\mu}^{\text{NP}}$ and $C_{10\mu}^{\text{NP}}$ given by

$$C_{9\mu}^{\text{NP}} = -C_{10\mu}^{\text{NP}} = \frac{-v^4 |\kappa_t|^2 |\kappa_\nu|^2}{64s_W^2 m_W^2 m_S^2} \frac{1 - z_t + z_t \ln z_t}{(1 - z_t)^2}. \quad (61)$$

Note that we neglect the neutrino mass in the above formula, and our result is consistent with that obtained in Ref. [14] within the vanishing neutrino mass limit.

In addition to the LFU-violating contribution given by Eq. (61), the flavor-specific Yukawa texture characterized by Eq. (15) also renders a considerable LFU-conserving effect on the $b \rightarrow s\ell^+\ell^-$ transition via the γ/Z -penguin diagrams shown in Fig. 4(b). However, the res-

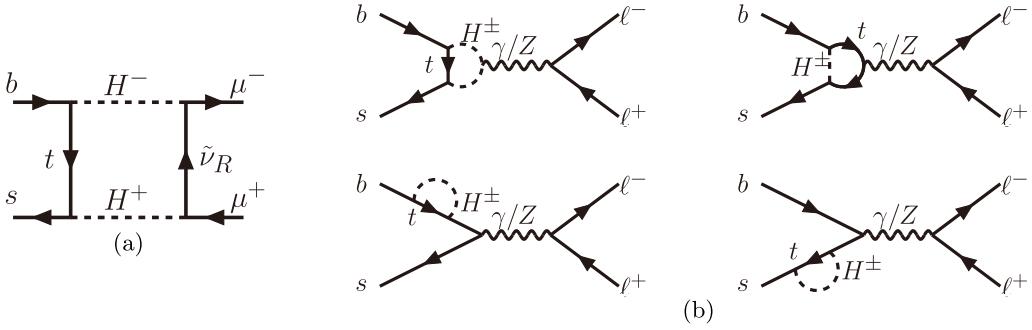


Fig. 4. (a): NP box diagram contributing to the $b \rightarrow s \mu^+ \mu^-$ transition, where only one-flavor right-handed neutrino $\tilde{\nu}_R$ participates non-negligibly in the loop. (b): γ/Z -mediated NP penguin diagrams contributing to the $b \rightarrow s \ell^+ \ell^-$ transition.

ulting contributions to $C_{9\ell}^{\text{NP}}$ from the γ - and Z -penguin diagrams are suppressed by the factors α_e and $1 - 4s_W^2$, respectively. As a result, the dominant LFU-conserving contribution originates from the axial-vector part of the Z -boson couplings to fermions in the Z -penguin diagrams, with the final result given by

$$C_{10\ell,Z}^{\text{NP}} = \frac{\kappa_t^2 v^2}{16s_W^2 m_W^2} \frac{z_t(1 - z_t + \ln z_t)}{(1 - z_t)^2}. \quad (62)$$

It can be seen from Eqs. (61) and (62) that for $\kappa_{t,v} \sim O(1)$ and $m_S \sim O(1)$ TeV, the LFU-violating coefficients $C_{9\mu}^{\text{NP}} = -C_{10\mu}^{\text{NP}}$ numerically have the same order of magnitude as the LFU-conserving one $C_{10\ell,Z}^{\text{NP}}$. Interestingly, this observation is also favored by the two-parameter fit for the $R_{K^{(*)}}$ resolution [24],

$$C_{9\mu}^{\text{NP}} = -C_{10\mu}^{\text{NP}} = -0.53 \pm 0.10, \quad C_{10\ell,Z}^{\text{NP}} = -0.24 \pm 0.20, \quad (63)$$

obtained at the 1σ level. While a negative central value of the LFU-conserving coefficient $C_{10\ell,Z}^{\text{NP}}$ is, by itself, not helpful for explaining $R_{K^{(*)}}$ anomalies, it can change the direction of the LFU-violating coefficients $C_{9\mu}^{\text{NP}} = -C_{10\mu}^{\text{NP}}$ and, in particular, lift $C_{9\mu}^{\text{NP}} (= -C_{10\mu}^{\text{NP}})$ to a larger negative value compared to the one-parameter fits obtained in Refs. [18–28]. Translated to the parameter space in the $tv2\text{HDM}$ framework, this requires larger $\kappa_{t,v}$ and/or a lighter scalar mass m_S to explain the $R_{K^{(*)}}$ anomalies.

B. Potential correlation between $R_{K^{(*)}}$ and H_0

As can be inferred from the previous studies in Refs. [13–16], an $O(1)$ κ_v is generally required to explain $R_{K^{(*)}}$ anomalies. Specific to the $tv2\text{HDM}$ framework, such a large coupling will readily help the right-handed neutrino $\tilde{\nu}_R$ establish thermal equilibrium with the SM plasma via the Higgs doublet portal H_2 . When the temperature T drops below the muon mass, the effective four-fermion interaction governing the right-handed neutrino annihilation rate, $\Gamma_{2\tilde{\nu} \rightarrow 2\nu} \equiv \Gamma(\tilde{\nu}_R \bar{\tilde{\nu}}_R \rightarrow \nu_L \bar{\nu}_L)$, mediated by neutral

scalars will determine the decoupling temperature $T_{\tilde{\nu},\text{dec}}$ of $\tilde{\nu}_R$. Because $\tilde{\nu}_R$ is relativistic in the early Universe, its contribution to Hubble expansion can be parameterized by a shift in the effective neutrino number [31–35],

$$\Delta N_{\text{eff}} = N_{\tilde{\nu}} \frac{g_{\tilde{\nu}}}{2} \left(\frac{10.75}{g_*^s(T_{\tilde{\nu},\text{dec}})} \right)^{4/3}. \quad (64)$$

Here, $N_{\tilde{\nu}} = 1$ denotes the number of thermalized right-handed neutrino species, and $g_{\tilde{\nu}} = 2$ takes into account the antiparticle state of the right-handed Dirac neutrino, and $g_{\tilde{\nu}} = 1$ for the right-handed Majorana neutrino. The effective d.o.f for the SM entropy density, $g_*^s(T_{\tilde{\nu},\text{dec}})$, is evaluated at the decoupling temperature $T_{\tilde{\nu},\text{dec}}$ of $\tilde{\nu}_R$, which can be estimated via the instantaneous decoupling condition $\Gamma_{2\tilde{\nu} \rightarrow 2\nu} \simeq H(T_{\tilde{\nu},\text{dec}})$, with the Hubble expansion rate given at the radiation-dominated epoch by

$$H(T) = \sqrt{\frac{4\pi^3 g_*^{\rho}(T)}{45M_P^2}} T^2, \quad (65)$$

where the effective d.o.f for the energy density is taken approximately as $g_* \equiv g_*^{\rho} \approx g_*^s$, and the Planck mass is given by $M_P = 1.22 \times 10^{19}$ GeV.

The annihilation rate of the process $\tilde{\nu}_R \bar{\tilde{\nu}}_R \rightarrow \nu_L \bar{\nu}_L$ has the structure

$$\Gamma_{2\tilde{\nu} \rightarrow 2\nu} = \frac{g_{\tilde{\nu}}}{2} \langle \sigma_{2\tilde{\nu} \rightarrow 2\nu} |v_{\tilde{\nu}_R} - v_{\bar{\tilde{\nu}}_R}| \rangle n_{\tilde{\nu}}, \quad (66)$$

where $|v_{\tilde{\nu}_R} - v_{\bar{\tilde{\nu}}_R}|$ is the relative velocity of the two incoming particles, $g_{\tilde{\nu}}/2$ is introduced to signify the symmetry factor due to the indistinguishability between the particle and antiparticle in the initial state, and $n_{\tilde{\nu}}$ is the thermal particle number density of $\tilde{\nu}_R$, given by

$$n_{\tilde{\nu}} = \frac{3\zeta(3)}{4\pi^2} T^3. \quad (67)$$

Here, note that the spin d.o.f of the right-handed neut-

rino $\tilde{\nu}_R$ is equal to one for both the chiral Dirac and Majorana neutrinos. The thermal rate $\langle \sigma_{2\tilde{\nu} \rightarrow 2\nu} |v_{\tilde{\nu}_R} - v_{\tilde{\nu}_R}| \rangle$ in Eq. (66) is given by

$$\begin{aligned}\langle \sigma_{2\tilde{\nu} \rightarrow 2\nu} |v_{\tilde{\nu}_R} - v_{\tilde{\nu}_R}| \rangle &\equiv \frac{\int dn_{\tilde{\nu}}^{\text{eq}}(p_1) dn_{\tilde{\nu}}^{\text{eq}}(p_2) \sigma_{2\tilde{\nu} \rightarrow 2\nu} |v_{\tilde{\nu}_R} - v_{\tilde{\nu}_R}|}{\int dn_{\tilde{\nu}}^{\text{eq}}(p_1) dn_{\tilde{\nu}}^{\text{eq}}(p_2)} \\ &= \frac{T}{32\pi^4 n_{\tilde{\nu}}^2} \int_0^\infty d\hat{s} \sigma_{2\tilde{\nu} \rightarrow 2\nu} \hat{s}^{3/2} K_1(\sqrt{\hat{s}}/T),\end{aligned}\quad (68)$$

where $K_1(x)$ is the modified Bessel function of order one, and the phase-space factor is defined by

$$dn_{\tilde{\nu}}^{\text{eq}}(p_i) = \frac{d^3 p_i}{(2\pi)^3} f_{\tilde{\nu}}^{\text{eq}}(p_i). \quad (69)$$

Within the $t\nu 2\text{HDM}$ framework, the annihilation cross section $\sigma_{2\tilde{\nu} \rightarrow 2\nu}$ is simply given by

$$\sigma_{2\tilde{\nu} \rightarrow 2\nu} = \frac{\kappa_\nu^4}{192\pi m_S^4} \hat{s}, \quad (70)$$

where $\sqrt{\hat{s}} = E_{\text{cm}}$ is the center-of-mass energy. Finally, we obtain the annihilation rate of the process $\tilde{\nu}_R \bar{\tilde{\nu}}_R \rightarrow \nu_L \bar{\nu}_L$,

$$\Gamma_{2\tilde{\nu} \rightarrow 2\nu} = \frac{g_{\tilde{\nu}}}{2} \frac{\kappa_\nu^4}{6\zeta(3)\pi^3} \frac{T^5}{m_S^4}, \quad (71)$$

which, together with the instantaneous decoupling condition $\Gamma_{2\tilde{\nu} \rightarrow 2\nu} \simeq H(T_{\tilde{\nu},\text{dec}})$ and Eq. (65), leads to the decoupling temperature

$$\begin{aligned}\left(\frac{T_{\tilde{\nu},\text{dec}}}{\text{MeV}}\right) &\simeq 4.25 \left(\frac{2}{g_{\tilde{\nu}}}\right)^{1/3} \left(\frac{g_*(T_{\tilde{\nu},\text{dec}})}{10.75}\right)^{1/6} \\ &\times \left(\frac{3}{\kappa_\nu}\right)^{4/3} \left(\frac{m_S}{500 \text{ GeV}}\right)^{4/3}.\end{aligned}\quad (72)$$

It should be mentioned that, to obtain the analytic thermal rate, as given by Eq. (68), we use the Boltzmann distribution $f_{\tilde{\nu}}^{\text{eq}} = e^{-E/T}$. Because the dependence of the d.o.f $g^s(T)$ on the decoupling temperature $T_{\tilde{\nu},\text{dec}}$ is weak below the muon mass scale $T < m_\mu$ [127], the effective neutrino number shift ΔN_{eff} will also have a weak dependence on $T_{\tilde{\nu},\text{dec}}$. Therefore, the approximation of adopting the Boltzmann distribution is sufficient to estimate the scale of $T_{\tilde{\nu},\text{dec}}$ from Eq. (72).

From Eq. (72), one can see that the decoupling temperature $T_{\tilde{\nu},\text{dec}}$ will be solely determined by the free parameters κ_ν and m_S after inserting the effective d.o.f $g_*(T)$ as a function of the temperature [127]. This in turn implies that the effective d.o.f $g^s(T_{\tilde{\nu},\text{dec}})$ present in Eq. (64) and hence the effective neutrino number shift ΔN_{eff} are

also determined by the two parameters κ_ν and m_S . However, given that the parameter κ_t is severely constrained by low-energy flavor physics (especially by the mass differences ΔM_q), we know that κ_ν becomes the key parameter for the $R_{K^{(*)}}$ resolution. Therefore, we can expect a potential correlation between the $R_{K^{(*)}}$ resolution and the mitigation of H_0 tension achieved via the effective neutrino number shift given by Eq. (64) within the $t\nu 2\text{HDM}$ framework proposed here.

V. NUMERICAL RESULTS AND DISCUSSIONS

A. Viable parameter space for the $R_{K^{(*)}}$ resolution

Let us begin with the exploration of the NP parameter space allowed by $R_{K^{(*)}}$ anomalies. By fixing the quasi-degenerate Higgs mass at $m_S = 500, 700, 900$, and 1200 GeV , we show in Fig. 5 the viable parameter regions in the (κ_ν, κ_t) plane, under the perturbative unitarity bounds given in Eqs. (54) and (55). We also take into account all the relevant phenomenological constraints discussed in Sec. III. Explicitly, the regions above the various curves in Fig. 5 are already excluded by the branching ratio $\mathcal{B}(\bar{B} \rightarrow X_S \gamma)$ (red), mass differences ΔM_s (orange), and ΔM_d (magenta), as well as by direct searches for the charged Higgs from ATLAS with 13 TeV . In the upper two plots, we also show the correlation between κ_t and κ_ν inferred from the CMS direct searches for neutral scalars, with the two benchmark points of the decay width over mass ratio, $\Gamma_S/m_S = 10\%$ (black dashed) and $\Gamma_S/m_S = 25\%$ (black solid). Because the LFU ratios of the di-lepton decays of Z/W gauge bosons do not impose any further significant constraints in the (κ_ν, κ_t) plane under the perturbative unitarity bounds, they are not displayed in Fig. 5. Finally, the bands colored from dark to light green represent the regions allowed by the $R_{K^{(*)}}$ resolution in the direction of $C_{9\mu}^{\text{NP}} = -C_{10\mu}^{\text{NP}}$ at $1-3\sigma$, whereas the band in yellow denotes the 1σ region of $C_{10\ell Z}^{\text{NP}}$, as given in Eq. (63).

From Fig. 5, it can be readily seen that the most stringent bound in the quark sector originates from the mass differences ΔM_q and, in particular, from ΔM_d , which in turn requires $\kappa_\nu \simeq 3$ for the $R_{K^{(*)}}$ resolution. However, as mentioned in Sec. III.A.2, the ΔM_q constraints may not be so conclusive owing to the large theoretical uncertainties. It should also be pointed out that if the ΔM_q discrepancies observed in Sec. III.A.2 are confirmed in the future, we will have to introduce extra NP sources beyond the minimal $t\nu 2\text{HDM}$ setup considered here. In such a special case, the constraints from ΔM_s (orange) and ΔM_d (magenta) may become irrelevant. However, for $m_S = 500$ and $m_S = 700 \text{ GeV}$, the two black curves inferred from the CMS direct searches for neutral scalars should be interpreted as the maximal values of κ_t under the reference values of κ_ν . For instance, with $\kappa_\nu \approx 2$,

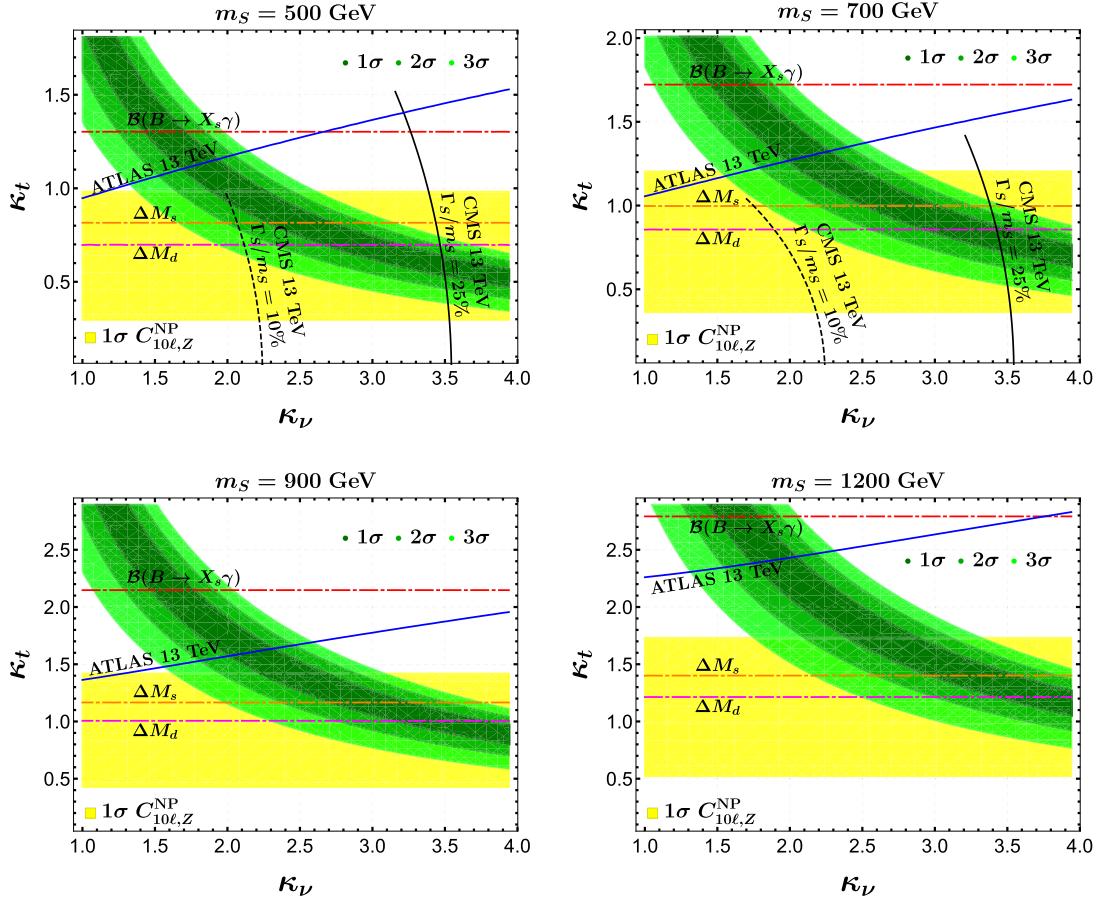


Fig. 5. (color online) Viable parameter space in the (κ_ν, κ_t) plane for the $R_{K^{(*)}}$ resolution, with the Higgs mass fixed at $m_S = 500, 700, 900$, and 1200 GeV. The green and yellow bands represent the regions allowed by the two-parameter fits [24] in the direction of $C_{9\mu}^{\text{NP}} = -C_{10\mu}^{\text{NP}}$ and $C_{10\ell,Z}^{\text{NP}}$, as given by Eq. (63). We also take into account all the relevant constraints discussed in the previous two sections; see text for further details.

$\kappa_t > 0.97$ will be excluded by the limits set by the CMS direct searches for the process $pp \rightarrow S \rightarrow t\bar{t}$ [120]. Furthermore, for the benchmark point $\Gamma_S/m_S = 10\%$ and $m_S = 500$ GeV, the constraint on κ_t from the CMS neutral-scalar searches is tighter than that from the charged-Higgs bound set by the ATLAS collaboration with 13 TeV [123], whereas for Γ_S/m_S up to 25% and $m_S = 700$ GeV, the upper limit on κ_t is still determined by the CMS neutral-scalar searches. However, we must note that the CMS constraints are no longer applicable for $m_S > 750$ GeV [120].

In the next subsection, we show that a large muon-related coupling κ_ν , as required by the $R_{K^{(*)}}$ resolution, is necessary for generating a significant contribution to the ΔN_{eff} shift. In this respect, we conclude that the $t\nu 2\text{HDM}$ framework provides us with an opportunity to correlate the $R_{K^{(*)}}$ resolution with the mitigation of H_0 tension.

B. Favored ΔN_{eff} shift for H_0 tension

To visualize the potential correlation between the $R_{K^{(*)}}$ resolution and the mitigation of H_0 tension achieved via

the ΔN_{eff} shift, we start with Eq. (64), where the effective d.o.f $g^s(T_{\tilde{\nu},\text{dec}})$ is solely determined by the free parameters κ_ν and m_S within our approximation. The LFU-violating Wilson coefficients $C_{9\mu}^{\text{NP}} = -C_{10\mu}^{\text{NP}}$ in Eq. (61) can then be expressed in terms of κ_t , m_S , and ΔN_{eff} . By fixing the scalar mass m_S and varying the parameter κ_t from zero up to the values allowed by the ΔM_q constraints, we can finally obtain the numerical dependence of $C_{9\mu}^{\text{NP}} = -C_{10\mu}^{\text{NP}}$ on ΔN_{eff} , which is shown in Figs. 6(a) and 6(b) for $m_S = 500$ and $m_S = 1000$ GeV, respectively. The horizontal bands colored from dark to light green correspond to the global-fit results of $C_{9\mu}^{\text{NP}} = -C_{10\mu}^{\text{NP}}$ at the $1-3\sigma$ level, as given in Eq. (63). The blue (red) region corresponds to the viable parameter space in the Dirac (Majorana) neutrino case, in which the peak of $C_{9\mu}^{\text{NP}} = -C_{10\mu}^{\text{NP}}$ corresponds to the upper limit on κ_ν , as required by the perturbative unitarity bound (see Eq. (54)).

From Fig. 6, it can be clearly seen that the resolution of $R_{K^{(*)}}$ anomalies at the 1σ level requires the shift $\Delta N_{\text{eff}} \simeq 1.0$ for a one-flavor right-handed Dirac neutrino

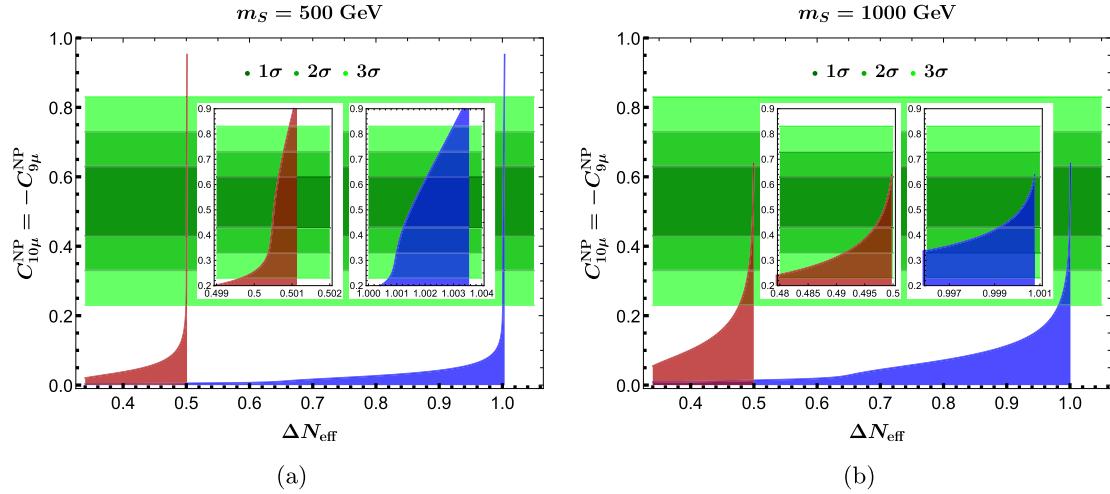


Fig. 6. (color online) Induced ranges of $C_{10\mu}^{\text{NP}} = -C_{9\mu}^{\text{NP}}$ for given values of ΔN_{eff} , with the parameter κ_t varied from zero up to the values allowed by the ΔM_q constraints, and the scalar mass fixed at $m_S = 500$ GeV(a) and $m_S = 1000$ GeV(b). The regions in blue and red correspond to the Dirac and Majorana natures of the right-handed neutrino, respectively. The horizontal bands in green correspond to the global-fit results of $C_{10\mu}^{\text{NP}} (= -C_{9\mu}^{\text{NP}})$ at the $1-3\sigma$ level, as given in Eq. (63).

and $\Delta N_{\text{eff}} \simeq 0.5$ for a one-flavor right-handed Majorana neutrino, and any large or moderate deviation from the benchmark points of ΔN_{eff} , although able to ease H_0 tension, cannot simultaneously resolve the $R_{K^{(*)}}$ anomalies. In both cases, after fixing the Higgs mass, a large effective ΔN_{eff} is always required by a value $\kappa_\nu \simeq 3$ that almost coincides with the perturbative unitarity limit, and varying the value of κ_t only influences the Wilson coefficients $C_{9\mu}^{\text{NP}} = -C_{10\mu}^{\text{NP}}$. Moreover, by comparing the two figures, we can find that increasing the Higgs mass will enlarge the viable space of ΔN_{eff} and simultaneously shrink the range of $C_{9\mu}^{\text{NP}} = -C_{10\mu}^{\text{NP}}$. This indicates a preference of a lighter Higgs to address $R_{K^{(*)}}$ anomalies while easing H_0 tension. In addition, such a difference between Dirac and Majorana neutrinos is expected owing to the different spinor natures of the neutrinos involved, that is, the Weyl spinor for the former and the Majorana spinor for the latter case. In terms of the favored ΔN_{eff} shifts inferred from Eqs. (5)–(8), we can then conclude that the eV-scale Majorana neutrino embedded in the $t\nu$ 2HDM framework is able to address $R_{K^{(*)}}$ anomalies and simultaneously ease H_0 tension, whereas the case with the one-flavor Dirac neutrino generates too large a ΔN_{eff} shift.

Finally, it can be demonstrated that, if more than one neutrino contributes to $R_{K^{(*)}}$ anomalies via the box diagram shown in Fig. 4(a), the resulting ΔN_{eff} shift would be unacceptably large. As an example, let us consider the case in which there are two right-handed neutrinos with significant couplings to the muon lepton in Eq. (13). We must sum over the two flavors of ν_R in Eq. (61), that is, $|\kappa_\nu|^2 \rightarrow |\kappa_{\nu,1}|^2 + |\kappa_{\nu,2}|^2$. Assuming that $\kappa_{\nu,1} \sim \kappa_{\nu,2}$ and applying our previous finding of $\kappa_\nu \simeq 3$ for the $R_{K^{(*)}}$ resolution, as inferred from Fig. 5, we can see that $\kappa_{\nu,1} \sim \kappa_{\nu,2} \sim O(3/\sqrt{2})$ are required in this case. This means that the

muon-related couplings κ_ν can be reduced by a factor of $1/\sqrt{2}$ when explaining $R_{K^{(*)}}$ anomalies with two right-handed neutrinos. However, such a parameter reduction cannot cause any significant increase in the decoupling temperature and, more importantly, any significant increase in the effective d.o.f $g_*(T_{\tilde{\nu},\text{dec}})$ in Eq. (72). This can be understood by the fact that enhancing $T_{\tilde{\nu},\text{dec}}$ by a factor of $2^{2/3}$ can only increase $g_*(T_{\tilde{\nu},\text{dec}})$ by approximately 10% [127]. From Eq. (64), we can see that the ΔN_{eff} shift is basically determined by the number of thermalized right-handed neutrino species. As a consequence, the correlation shown in Fig. 6 indicates that the $R_{K^{(*)}}$ resolution with more than one thermalized right-handed neutrino would introduce a large ΔN_{eff} shift beyond that favored by Eqs. (5)–(8). This is the reason why we only introduce the one-flavor thermalized right-handed neutrino $\tilde{\nu}_R$ into the early Universe within our framework.

VI. CONCLUSION

The latest updated measurements from the LHCb [5] and SH0ES [55] collaborations have respectively strengthened the deviations of the LFU ratio R_K in rare semi-leptonic B -meson decays and the present-day H_0 parameter in the Universe. If confirmed with more precise experimental measurements and theoretical predictions, they could be tantalizing hints of NP beyond the SM. In this paper, we construct a simple flavor-specific 2HDM, dubbed the $t\nu$ 2HDM, where significant NP effects arise only from the one-flavor right-handed neutrino and the top quark. Such a framework is only characterized by the three free parameters κ_t , κ_ν , and m_S in the alignment limit with a quasi-degenerate Higgs mass spectrum.

The $t\nu 2\text{HDM}$ can explain the long-standing $R_{K^{(*)}}$ anomalies via one eV-scale right-handed Majorana neutrino or one right-handed Dirac neutrino under the most relevant constraints from low-energy flavor physics, the perturbative unitarity condition, and LHC direct searches. However, in contrast with the three-flavor right-handed neutrino scenarios considered in Refs. [13–16], an intriguing prediction resulting from the parameter space for the $R_{K^{(*)}}$ resolution with a one-flavor scenario points toward a moderate shift in the effective neutrino number, $\Delta N_{\text{eff}} = N_{\text{eff}} - N_{\text{eff}}^{\text{SM}}$, at the early BBN and late CMB epochs. It is then found that while the ΔN_{eff} shift predicted in the Dirac neutrino case is still at $\Delta N_{\text{eff}} \simeq 1.0$ and hence disfavored by the CMB polarization measurements, the shift induced in the Majorana case is $\Delta N_{\text{eff}} \simeq 0.5$, which coincides with the ranges from Eqs. (5)–(8) favored to ease the notorious H_0 tension [63–67]. There is also a potential correlation between $R_{K^{(*)}}$ anomalies and the H_0 tension achieved via the ΔN_{eff} shift with the one-flavor eV-scale right-handed Majorana neutrino, and such a correlation can be tested in the future.

In conclusion, the $t\nu 2\text{HDM}$ provides an interesting link between $R_{K^{(*)}}$ anomalies and H_0 tension. In addition, a light right-handed Majorana neutrino embedded in the 2HDM infers a hierarchical Majorana neutrino pattern for the seesaw generation of the neutrino masses and, in particular, a nearly massless active neutrino.

As a final comment, we discuss direct searches of right-handed neutrinos. These right-handed neutrinos, which are also called heavy neutral leptons with masses

above the eV scale, are often proposed to explain several puzzles of fundamental physics, a foremost example being neutrino oscillations. These hypothetical particles can be of Majorana or Dirac nature. The present generation of experiments usually focuses on the following three aspects: neutrino masses, oscillation parameters, and neutrinoless double beta decay [128, 129]. Future precise measurements of these parameters may come from many types of experiments, such as short-baseline, fixed-target, and collider experiments. With the upcoming precision era of neutrino physics, these terrestrial experiments are expected to determine the exact mixing pattern and flavor structures of heavy neutral leptons [128]. In addition, specific to the $t\nu 2\text{HDM}$, new interactions in the lepton sector can lead to the charged-Higgs decaying into right-handed neutrinos, $H^+ \rightarrow \mu^+ \nu$. These right-handed neutrinos can therefore be searched for at the LHC in terms of SM-like Yukawa interactions with extended neutrinos. However, such processes have not yet been observed at the LHC, and only some phenomenological studies exist in literature [125]. We expect that right-handed neutrinos will be detected via the channel $H^+ \rightarrow \mu^+ \nu$ in future experiments, and the free parameters related to heavy neutral leptons will be determined by forthcoming neutrino experiments.

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