# Lie algebraic analysis for the nonlinear transport of intense bunched beam in electrostatic quadrupoles\*

ZHANG Zhuo(张卓)<sup>1,2</sup> LÜ Jian-Qin(吕建钦)<sup>1;1)</sup>

1 (Institute of Heavy Ion Physics, Peking University, Beijing 100871, China) 2 (Institute of Fluid Physics, China Academy of Engineering Physics, Mianyang 621900, China)

**Abstract** In this paper, the nonlinear transport of intense bunched beams in electrostatic quadrupoles is analyzed using the Lie algebraic method, and the results are briefly presented of the linear matrix approximation and the second order correction of particle trajectory in the state space. Beam having K-V distribution and Gaussian distribution approximation are respectively considered. A brief discussion is also given of the total effects of the quadrupole and the space charge forces on the evolution of the beam envelope.

Key words electrostatic quadrupole, space charge effect, Lie algebraic, nonlinearity

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# 1 Introduction

Lie algebraic method<sup>[1]</sup> has been successfully implemented in accelerator study. Until now, it has not taken into account the nonlinear space charge effects. One commonly used distribution which causes nonlinear space charge effect is Gaussian distribution. In this paper, we present the polynomials approximation of particle trajectories to the second order. We first treat the K-V distribution. That is take the spacecharge forces to be linear, and postulate a beam having a uniform charge distribution in the ellipsoid of bunched beam in real space. Then we consider the case of Gaussian distribution.

# 2 Hamiltonian and expansion

Let us consider the case of a perfect electrostatic quadrupole of length L and employ the Cartesian coordinates. The relativistic Hamiltonian for the motion of a particle of rest mass  $m_0$  and charge q in the electromagnetic field is given by the expression<sup>[2]</sup>

$$H = \left(m_0^2 c^4 + c^2 p_x^2 + c^2 p_y^2 + c^2 p_z^2\right)^{1/2} + q \Psi \,. \eqno(1)$$

Here, x and y denote the two coordinates perpendicular to the design trajectory, along which is z.  $p_x$ ,  $p_y$ , and  $p_z$  are the canonical momenta.  $\Psi$  is the electric

potential, which is a sum of the external potential  $\Psi_{\rm e}$  and the potential excited by the beam itself  $\Psi_{\rm s}$ . For the beam having a K-V distribution,  $\Psi_{\rm e}$  and  $\Psi_{\rm s}$  are given by

$$\Psi_{\rm e} = \frac{V}{r_0^2} \left( x^2 - y^2 \right) \,. \tag{2}$$

$$\Psi_{\rm s} = -U(\mu_x x^2 + \mu_y y^2 + \mu_z z_x^2) \ . \tag{3}$$

Here V denotes the potential of the electrode and  $r_0$  is the inner radius of the electrostatic quadrupole. U is defined as

$$U = \frac{3IT_{\rm rf}}{8\pi\varepsilon_0\gamma_0 XYZ} \,. \tag{4}$$

I is the average beam current.  $T_{\rm rf}$  is the period of the beam pulses. X, Y and Z are the beam dimensions.  $z_r$  is the relative longitudinal position of arbitrary particle to the reference particle and is defined by

$$z_r = z - v_0 t. (5)$$

 $v_0$  is the velocity of reference particle.  $\gamma_0$  is

$$\gamma_0 = \frac{1}{\sqrt{1 - \beta_0^2}},$$

$$\beta_0 = \frac{v_0}{c}.$$
(6)

 $\mu_x$ ,  $\mu_y$  and  $\mu_z$  are the factors related to the shape of

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<sup>1)</sup> E-mail: jgli@pku.edu.cn

the beam, and

$$\mu_{x} = \frac{XYZ\gamma_{0}}{2} \times \int_{0}^{\infty} \frac{1}{(X^{2} + \xi)\sqrt{(X^{2} + \xi)(Y^{2} + \xi)(Z^{2}\gamma_{0}^{2} + \xi)}} d\xi,$$

$$\mu_{y} = \frac{XYZ\gamma_{0}}{2} \times \int_{0}^{\infty} \frac{1}{(Y^{2} + \xi)\sqrt{(X^{2} + \xi)(Y^{2} + \xi)(Z^{2}\gamma_{0}^{2} + \xi)}} d\xi,$$

$$\mu_{z} = \frac{XYZ\gamma_{0}}{2} \times \int_{0}^{\infty} \frac{1}{(Z^{2}\gamma_{0}^{2} + \xi)\sqrt{(X^{2} + \xi)(Y^{2} + \xi)(Z^{2}\gamma_{0}^{2} + \xi)}} d\xi.$$
(7)

Define  $p_t$  by writing

$$p_{t} = -H_{t}(t, x, y, z, p_{x}, p_{y}, p_{z}). \tag{8}$$

Solving  $p_z$  from Eq. (8), one obtains

$$p_z = -K(z, x, y, t, p_x, p_y, p_t).$$
 (9)

where K is the Hamiltonian with the axis z as an independent variable

$$K = -\frac{1}{c}\sqrt{-m_0^2c^4 - c^2(p_x^2 + p_y^2) + (p_t + q(\psi_e + \psi_s))^2}.$$
(10)

The design orbit passes through the center of the quadrupole and has certain design energy, which can be characterized by writing the equations

$$p_x = 0, x = 0,$$
  
 $p_y = 0, y = 0,$   
 $p_t = p_t^0, t(z) = \frac{z}{v_0}.$  (11)

 $p_t^0$  is a constant value, which is the value of  $p_t$  for the reference particle,

$$p_t^0 = -H_t \big|_{\text{reference porbit}} = -\gamma_0 m_0 c^2$$
. (12)

According to Eq. (11), following the Hamiltonian flow generated by K along the design orbit does not lead to an analytical map. Define "new" variables  $\tau$ , x, y,  $p_{\tau}$ ,  $p_{x}$ ,  $p_{y}$ by the relation

$$p_{x} = p_{x}, x = x,$$

$$p_{y} = p_{y}, y = y,$$

$$p_{t} = p_{\tau} + p_{t}^{0}, t = \tau + \frac{z}{v_{0}}.$$
(13)

This change of variables is a canonical transformation arising from the transformation function

$$F_2 = xp_x + yp_y + \left(t - \frac{z}{v_0}\right)(p_\tau + p_t^0). \tag{14}$$

In terms of these new variables, the design orbit can be taken to be given by the equations

$$\tau = x = y = p_{\tau} = p_{x} = p_{y} = 0. \tag{15}$$

The variables  $\tau$ , x, y, and their canonical momenta, are measured as the deviation from the design trajectory. Let H denote the Hamiltonian for the new variables. Then one has the relation

$$H = K + \frac{\partial F_2}{\partial z} \,. \tag{16}$$

Carrying out the prescription Eq. (16), one finds the result

$$H = -\frac{p_{\tau} + p_{t}^{0}}{c\beta_{0}} - \frac{1}{c}\sqrt{-m_{0}^{2}c^{4} - c^{2}\left(p_{x}^{2} + p_{y}^{2}\right) + \left(p_{\tau} + p_{t}^{0} + q\left(\psi_{e} + \psi_{s}\right)\right)^{2}}.$$
(17)

Expanding the Hamiltonian H into Taylor series, one can find for the first few polynomials the results

$$H_{0} = -p_{0} - \frac{p_{t}^{0}}{\beta_{0}c},$$

$$H_{1} = 0,$$

$$H_{2} = \frac{p_{\tau}^{2}}{2p_{0}\beta_{0}^{2}\gamma_{0}^{2}c^{2}} + \frac{p_{x}^{2}}{2p_{0}} + \frac{p_{y}^{2}}{2p_{0}} + x^{2}\frac{p_{0}k_{x}^{2}}{2} - y^{2}\frac{p_{0}k_{y}^{2}}{2} - \tau^{2}\frac{p_{0}k_{y}^{2}}{2},$$

$$H_{3} = \frac{p_{\tau}^{3}}{2p_{0}^{2}\gamma_{0}^{2}\beta_{0}^{3}c^{3}} + \frac{p_{x}^{2}p_{\tau}}{2p_{0}^{2}\beta_{0}c} + \frac{p_{y}^{2}p_{\tau}}{2p_{0}^{2}\beta_{0}c} + \frac{x^{2}p_{\tau}k_{x}^{2}}{2\gamma_{0}^{2}\beta_{0}c} - \frac{y^{2}p_{\tau}k_{y}^{2}}{2\gamma_{x}^{2}\beta_{0}c} - \tau^{2}p_{\tau}\frac{\beta_{0}ck_{\tau}^{2}}{2}.$$

$$(18)$$

Here  $p_0$  denotes the magnitude of the design relativistic mechanical momentum

$$p_0 = \gamma_0 m_0 \beta_0 c. \tag{19}$$

The parameters  $k_x$ ,  $k_y$ , and  $k_\tau$  are defined by

$$k_x^2 = \frac{2q(V - U\mu_x r_0^2)}{\gamma_0 m_0 \beta_0^2 c^2 r_0^2},$$

$$k_y^2 = \frac{2q(V + U\mu_y r_0^2)}{\gamma_0 m_0 \beta_0^2 c^2 r_0^2},$$

$$k_\tau^2 = \frac{2qU\mu_z}{\gamma_0^2 m_0 \beta_0^2 c^2}.$$
(20)

For the Gaussian distribution beam,  $\Psi_{\rm s}$  can be expressed by [3]

$$\psi_{\rm s} = \frac{IT_{\rm rf}}{8\pi^{3/2}\varepsilon_0} \times \int_0^\infty \frac{\exp\left[-\left(\frac{x^2}{2X^2 + \xi} + \frac{y^2}{2Y^2 + \xi} + \frac{z^2\gamma_0^2}{2Z^2\gamma_0^2 + \xi}\right)\right]}{\sqrt{(2X^2 + \xi)(2Y^2 + \xi)(2Z^2\gamma_0^2 + \xi)}} d\xi.$$
(21)

$$p_t^0$$
 is

$$p_t^0 = -H_t \Big|_{\text{reference porbit}} = -\gamma_0 m_0 c^2 - \frac{Iq T_{\text{rf}} F_{\text{elliptic}} \left( \arcsin \left( \frac{\sqrt{-X^2 + Z^2 \gamma_0^2}}{Z \gamma_0} \right), \frac{Y^2 - Z^2 \gamma_0^2}{X^2 - Z^2 \gamma_0^2} \right)}{4\sqrt{2} \pi^{\frac{3}{2}} \varepsilon_0 \sqrt{-X^2 + Z^2 \gamma_0^2}} . \tag{22}$$

The Hamiltonian has the same form of Eq. (17) and the same form of expansion as Eq. (18) but different  $k_x$ ,  $k_{\tau}$ .

$$k_{x}^{2} = \frac{2qV}{p_{0}\beta_{0}c\gamma_{0}^{2}} + \frac{IqT_{\text{rf}}\left((X^{2} - Y^{2})Z\gamma_{0} + XY\sqrt{Y^{2} - Z^{2}\gamma_{0}^{2}}E_{\text{elliptic}}\left(\arcsin\left(\frac{\sqrt{Y^{2} - Z^{2}\gamma_{0}^{2}}}{Y}\right), \frac{-X^{2} + Y^{2}}{Y^{2} - Z^{2}\gamma_{0}^{2}}\right)\right)}{4\sqrt{2}\pi^{\frac{3}{2}}\varepsilon_{0}p_{0}\beta_{0}cXY(X^{2} - Y^{2})(X^{2} - Z^{2}\gamma_{0}^{2})} - \frac{IqT_{\text{rf}}\left(XY\sqrt{X^{2} - Z^{2}\gamma_{0}^{2}}F_{\text{elliptic}}\left(\arcsin\left(\frac{\sqrt{X^{2} - Z^{2}\gamma_{0}^{2}}}{X}\right), \frac{X^{2} - Y^{2}}{X^{2} - Z^{2}\gamma_{0}^{2}}\right)\right)}{4\sqrt{2}\pi^{\frac{3}{2}}\varepsilon_{0}p_{0}\beta_{0}cXY(X^{2} - Y^{2})(X^{2} - Z^{2}\gamma_{0}^{2})}, \frac{X^{2} - Z^{2}\gamma_{0}^{2}}{X^{2} - Y^{2}}\right)}, k_{\tau}^{2} = \frac{2qV}{p_{0}\beta_{0}c\gamma_{0}^{2}} - \frac{IqT_{\text{rf}}\left(\sqrt{-X^{2} + Y^{2}}Z\gamma_{0} - iXYE_{\text{elliptic}}\left(\arcsin\left(\frac{\sqrt{X^{2} - Y^{2}}}{X}\right), \frac{X^{2} - Z^{2}\gamma_{0}^{2}}{X^{2} - Y^{2}}\right)\right)}{4\sqrt{2}\pi^{\frac{3}{2}}\varepsilon_{0}p_{0}\beta_{0}cXY\sqrt{-X^{2} + Y^{2}}(Y^{2} - Z^{2}\gamma_{0}^{2})}, \frac{X^{2} - Z^{2}\gamma_{0}^{2}}{X^{2} - Y^{2}}\right)}{4\sqrt{2}\pi^{\frac{3}{2}}\varepsilon_{0}XZ\sqrt{-X^{2} + Y^{2}}}(X^{2} - Z^{2}\gamma_{0}^{2})(Y^{2} - Z^{2}\gamma_{0}^{2})}\right).$$

$$= \frac{iXZ\gamma_{0}(Y^{2} - Z^{2}\gamma_{0}^{2})F_{\text{elliptic}}\left(\arcsin\left(\frac{\sqrt{X^{2} - Y^{2}}}{X}\right), \frac{X^{2} - Z^{2}\gamma_{0}^{2}}{X^{2} - Y^{2}}\right)}{4\sqrt{2}\pi^{\frac{3}{2}}\varepsilon_{0}XZ\sqrt{-X^{2} + Y^{2}}(X^{2} - Z^{2}\gamma_{0}^{2})(Y^{2} - Z^{2}\gamma_{0}^{2})}\right)}.$$

# 3 Lie map and factorization

The mapping is given by the expression

$$M = \exp\left(:-\int_{z_0}^z H \,\mathrm{d}z:\right). \tag{24}$$

Inserting the expansion into the expression (24) and imagining that the result is written in factored product form, the map can be written as

$$M = \exp\left(-\int_{0}^{z} : H_{2} : + : H_{3} : + \cdots dz\right) = \cdots \exp\left(: f_{3} : \right) \exp\left(: f_{2} : \right).$$
 (25)

Here  $f_2$  and  $f_3$  can be given by the expression<sup>[4]</sup>

$$f_2 = -\int_{z_0}^z H_2 dz$$
,  $f_3 = -\int_{z_0}^z H_3^{\text{int}} dz$ . (26)

Here  $H_3^{\text{int}}$  is defined as

$$H_3^{\text{int}}(z) = H_3(M_2 z).$$
 (27)

One can obtain linear and the second order approximation of the final coordinates by

$$\xi_1 = \exp(:f_2:)\xi, \quad \xi_2 =: f_3:\xi_1.$$
 (28)

#### 4 Particle trajectory

Let the particle transport through a small segment of l in the z direction. The first factor acts on the variables and gives the linear matrix approximation. If  $k_x^2 > 0$ , the linear matrix transforms the variables according to the rule

$$\begin{bmatrix}
x_1 \\
p_x_1 \\
y_1 \\
p_{y_1} \\
\tau_1 \\
p_{\tau_1}
\end{bmatrix} = \begin{bmatrix}
\cos(k_x l) & \frac{\sin(k_x l)}{p_0 k_x} & 0 & 0 & 0 & 0 \\
-p_0 k_x \sin(k_x l) & \cos(k_x l) & 0 & 0 & 0 & 0 \\
0 & 0 & \cosh(k_y l) & \frac{\sinh(k_y l)}{p_0 k_y} & 0 & 0 & 0 \\
0 & 0 & \cosh(k_y l) & \frac{\sinh(k_y l)}{p_0 k_y} & 0 & 0 & 0 \\
0 & 0 & p_0 k_y \sinh(k_y l) & \cosh(k_y l) & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & \cosh(k_\tau l) & \frac{\sinh(k_\tau l)}{\gamma_0^2 \beta_0^2 c^2 p_0 k_\tau} \\
0 & 0 & 0 & 0 & \gamma_0^2 \beta_0^2 c^2 p_0 k_\tau \sinh(k_\tau l) & \cosh(k_\tau l)
\end{bmatrix} . (29)$$

Physically, they describe horizontal focusing, vertical defocusing, and longitudinal separating action of intense beam through quadrupole in linear matrix approximation.

The second order correction can be obtained according to Eq. (21—23) and the formulas are

$$x_{2} = x\tau \left\{ \beta_{0}ck_{s} \left\{ \frac{|k_{\tau}^{2} + 2k_{s}^{2}(1 + \gamma_{0}^{2})|\sin(k_{s}t) - [k_{\tau}^{2}\gamma_{0}^{2} + 2k_{s}^{2}(1 + \gamma_{0}^{2})]\sin(k_{s}t) \cosh(k_{r}t)}{4k_{s}^{2} + k_{\tau}^{2}} + \frac{k_{s}k_{s}(-1 + \gamma_{0}^{2})\cos(k_{s}t)\sinh(k_{r}t)}{4k_{s}^{2} + k_{\tau}^{2}} \right\} - \frac{k_{s}k_{\tau}(-1 + \gamma_{0}^{2})\cos(k_{s}t)\sinh(k_{r}t) - k_{s}k_{\tau}(-1 + \gamma_{0}^{2})\cos(k_{s}t)[\cosh(k_{\tau}t) - 1]}{k_{\tau}(4k_{s}^{2} + k_{\tau}^{2})p_{0}\beta_{0}c\gamma_{0}^{2}} \right\} + \frac{k_{s}k_{\tau}(-1 + \gamma_{0}^{2})\cos(k_{s}t)\sinh(k_{r}t) - k_{s}k_{\tau}(-1 + \gamma_{0}^{2})\cos(k_{s}t)[\cosh(k_{\tau}t) - 1]}{k_{\tau}(4k_{s}^{2} + k_{\tau}^{2})p_{0}\beta_{0}c\gamma_{0}^{2}} \right\} + p_{s}\tau \left\{ \beta_{0}c\frac{[k_{\tau}^{2}\gamma_{0}^{2} + 2k_{s}^{2}(1 + \gamma_{0}^{2})]\cos(k_{s}t)\sinh(k_{r}t) + k_{s}k_{\tau}(-1 + \gamma_{0}^{2})\sin(k_{s}t)\sinh(k_{\tau}t)}{(4k_{s}^{2} + k_{\tau}^{2})p_{0}\beta_{0}c\gamma_{0}^{2}} \right\} - x\tau \left\{ p_{0}\beta_{0}ck_{s}\frac{[k_{\tau}^{2} + 2k_{s}^{2}(1 + \gamma_{0}^{2})]\cos(k_{s}t)\sinh(k_{\tau}t) + k_{s}k_{\tau}(-1 + \gamma_{0}^{2})\sin(k_{s}t)\cosh(k_{\tau}t) + 1]}{k_{\tau}(4k_{s}^{2} + k_{s}^{2})p_{0}\beta_{0}c\gamma_{0}^{2}} \right\} - xp_{\tau} \left\{ k_{s}\frac{[k_{\tau}^{2} + 2k_{s}^{2}(1 + \gamma_{0}^{2})]\cos(k_{s}t)\sinh(k_{\tau}t) - k_{s}k_{\tau}(-1 + \gamma_{0}^{2})\sin(k_{s}t)\cosh(k_{\tau}t) + 1]}{4k_{s}^{2} + k_{s}^{2}} \right\} - p_{s}\tau \left\{ \beta_{0}ck_{s}\left\{ -\frac{[k_{\tau}^{2} + 2k_{s}^{2}(1 + \gamma_{0}^{2})]\sin(k_{s}t)\sinh(k_{\tau}t) - k_{s}k_{\tau}(-1 + \gamma_{0}^{2})\sin(k_{s}t)\cosh(k_{\tau}t) + 1]}{4k_{s}^{2} + k_{s}^{2}} \right\} - p_{s}\tau \left\{ \beta_{0}ck_{s}\left\{ -\frac{[k_{\tau}^{2} + 2k_{s}^{2}(1 + \gamma_{0}^{2})]\sin(k_{s}t)\sinh(k_{\tau}t) + k_{s}k_{\tau}(-1 + \gamma_{0}^{2})\sin(k_{s}t)\cosh(k_{\tau}t) + 1]}{4k_{s}^{2} + k_{s}^{2}} \right\} - p_{s}\tau \left\{ k_{s}\frac{[k_{\tau}^{2} + 2k_{s}^{2}(1 + \gamma_{0}^{2})]\sin(k_{s}t)\sinh(k_{\tau}t) + k_{s}k_{\tau}(-1 + \gamma_{0}^{2})\cos(k_{s}t)\cosh(k_{\tau}t) + 1]}{k_{\tau}(4k_{s}^{2} + k_{\tau}^{2})p_{0}\beta_{0}c\gamma_{0}^{2}} \right\} - p_{s}\tau \left\{ \beta_{0}ck_{s}\left\{ \frac{[k_{\tau}^{2} - 2k_{s}^{2}(1 + \gamma_{0}^{2})]\sinh(k_{\tau}t) + k_{s}k_{\tau}(-1 + \gamma_{0}^{2})\cosh(k_{\tau}t) - 1]}{k_{\tau}(4k_{s}^{2} - k_{\tau}^{2})p_{0}\beta_{0}c\gamma_{0}^{2}} \right\} \right\} + p_{s}\tau \left\{ \beta_{0}c\frac{[k_{\tau}^{2} - 2k_{s}^{2}(1 + \gamma_{0}^{2})]\sinh(k_{\tau}t) + k_{s}k_{\tau}(-1 + \gamma_{0}^{2})\cosh(k_{\tau}t) - 1]}{k_{\tau}(4k_{s}^{2} - k_{\tau}^{2})p_{0}\beta_{0}c\gamma_{0}^{2}} \right\} \right\} + p_{s}\tau \left\{ \frac{[k_{\tau}^{2} - 2k_{s}^{2}(1 + \gamma_{0}^{2})]\sinh(k_{\tau}t) + k_{\tau}k_{\tau}(-1 +$$

The third and higher order correction can be calculated similarly.

# 5 Discussion

If  $k_x^2 < 0$ , to get  $M_2$ , just substitute  $k_x$  with  $ik_x$ . In this case, the particle coordinates related to the reference particle will have an exponential increase with the growth of transport distance.

Just as expected, when the beam current is low, the effects of the quadrupole are prominent and the total effects are focusing in the x direction and defocusing in the y direction. With the growth of beam current, the space charge effects and thus the defocusing effects will increase. When the beam current is high enough to exceed the confinement of the

quadrupole, the total effects are defocusing both horizontally and vertically. In either case the effects of space charge effects in the longitudinal are to increase the separating distance.

#### 6 Conclusion

According to the calculation, the polynomials approximations of particle trajectory with beam having K-V distribution and Gaussian distribution have the same form but different coefficients. It has also been shown that the effect of space charge effects is defocusing. When the space charge effects exceed the confinement of the quadrupole, the bench will grow up rapidly.

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